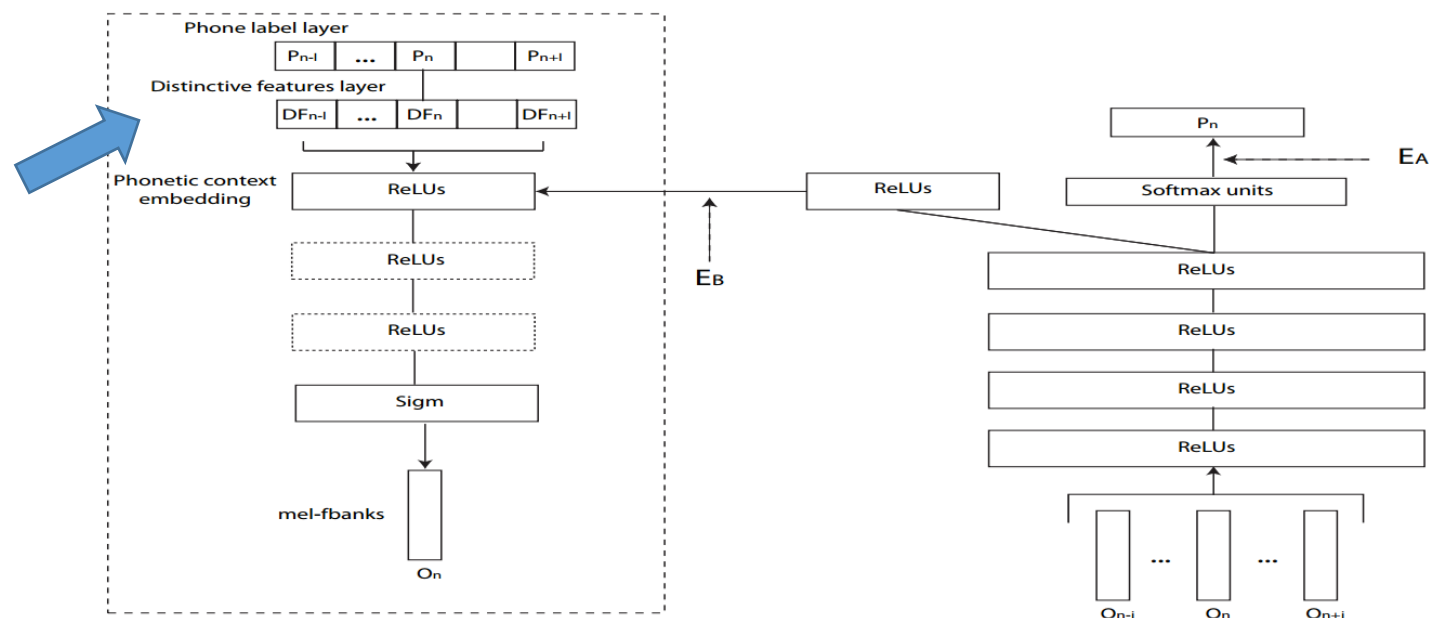


# A Brief Review for Selected Papers in Interspeech16

Zhiyuan Tang, Speech Group

# Feature extraction/Representation learning

consonant, voiced, unvoiced, fricative, nasal, stop  
approximant, affricate, labial, dental, alveolar, lateral  
post-alveolar, palatal, velar, glottal, syllabic, flapping  
vowel, diphthong, nasalized, r-merged, close, close-mid  
mid, open-mid, open, front, central, back, long, short  
close2, close-mid2, mid2, open-mid2, open2  
front2, central2, back2, long2, short2, silence



# Feature extraction/Representation learning

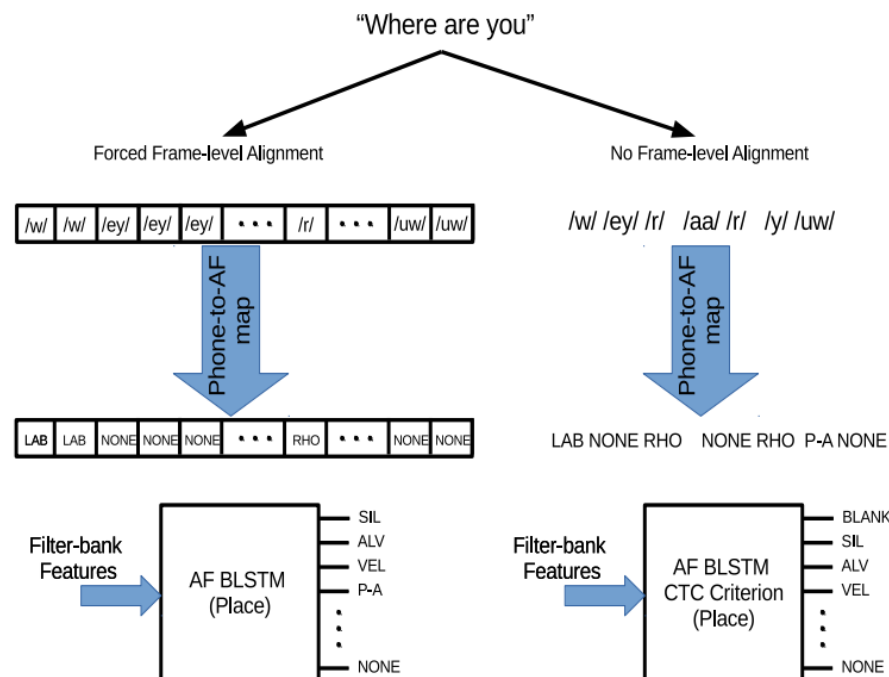


Figure 1: Building “Place” Articulatory Classifier

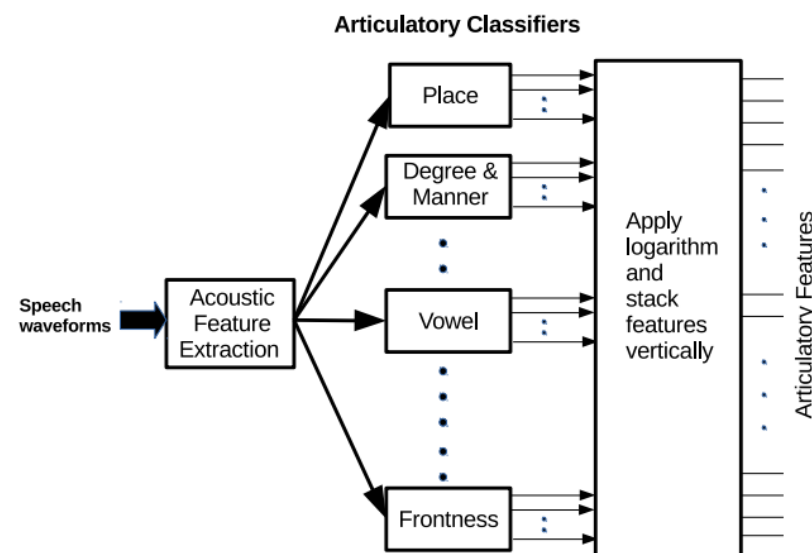


Figure 2: Articulatory Feature Extractor

# Feature extraction/Representation learning

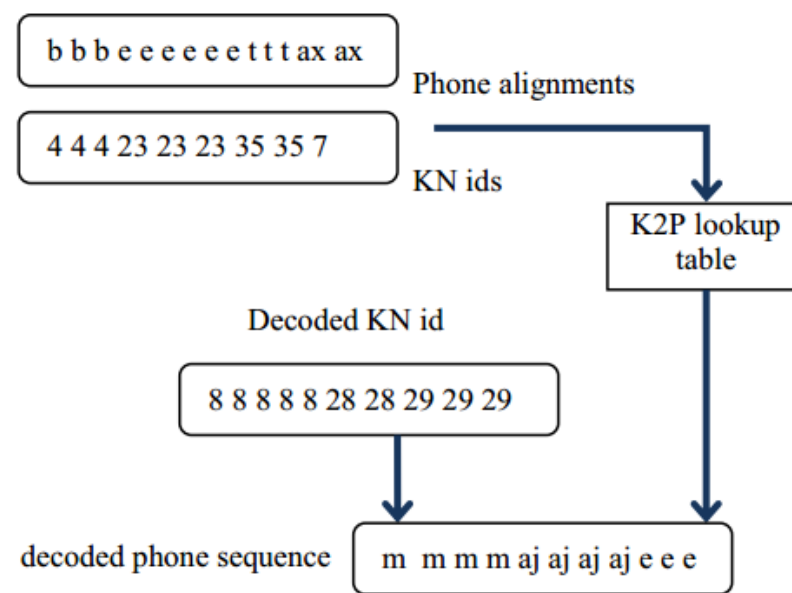
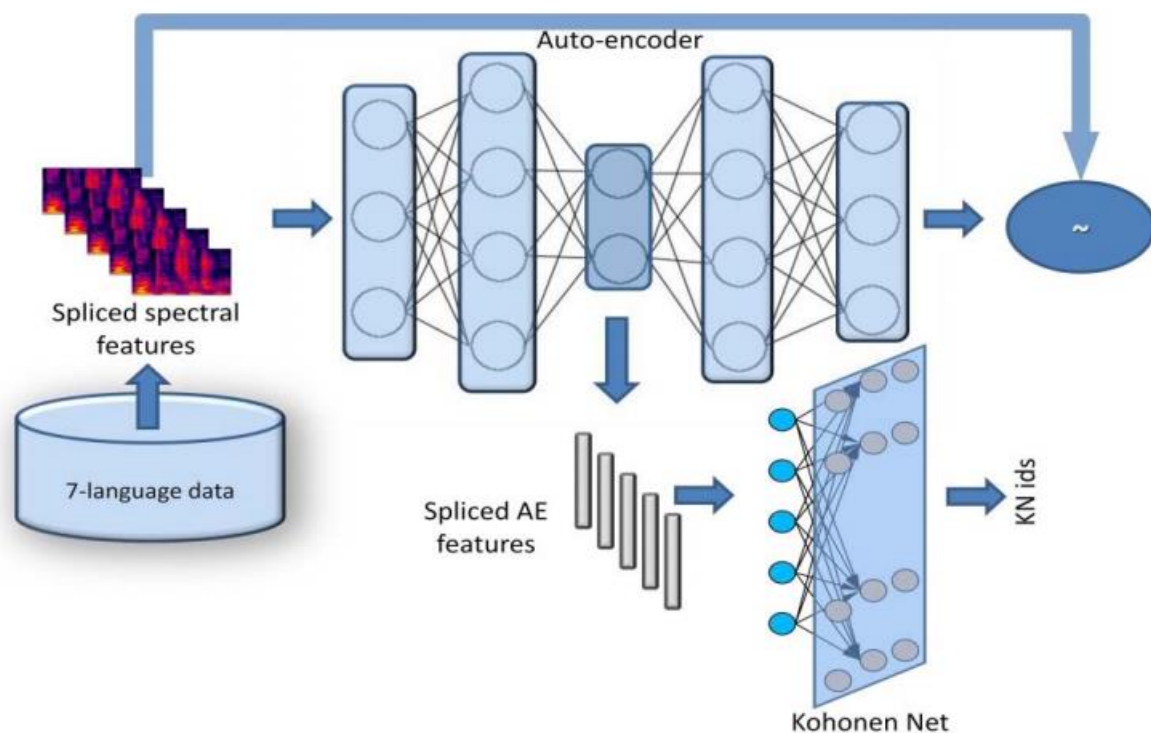


Figure 2: Schematics of K2P lookup table creation and KN-id decoding.

# Feature extraction/Representation learning

Mel features:  $x \xrightarrow{\mathcal{F}} X \xrightarrow{|\cdot|^2} |X|^2 \xrightarrow{Mel} Y = M|X|^2$

CLP feature:  $x \xrightarrow{\mathcal{F}} X \xrightarrow{W} Y = WX \xrightarrow{|\cdot|} |Y|$

$$X = X_R + jX_I$$

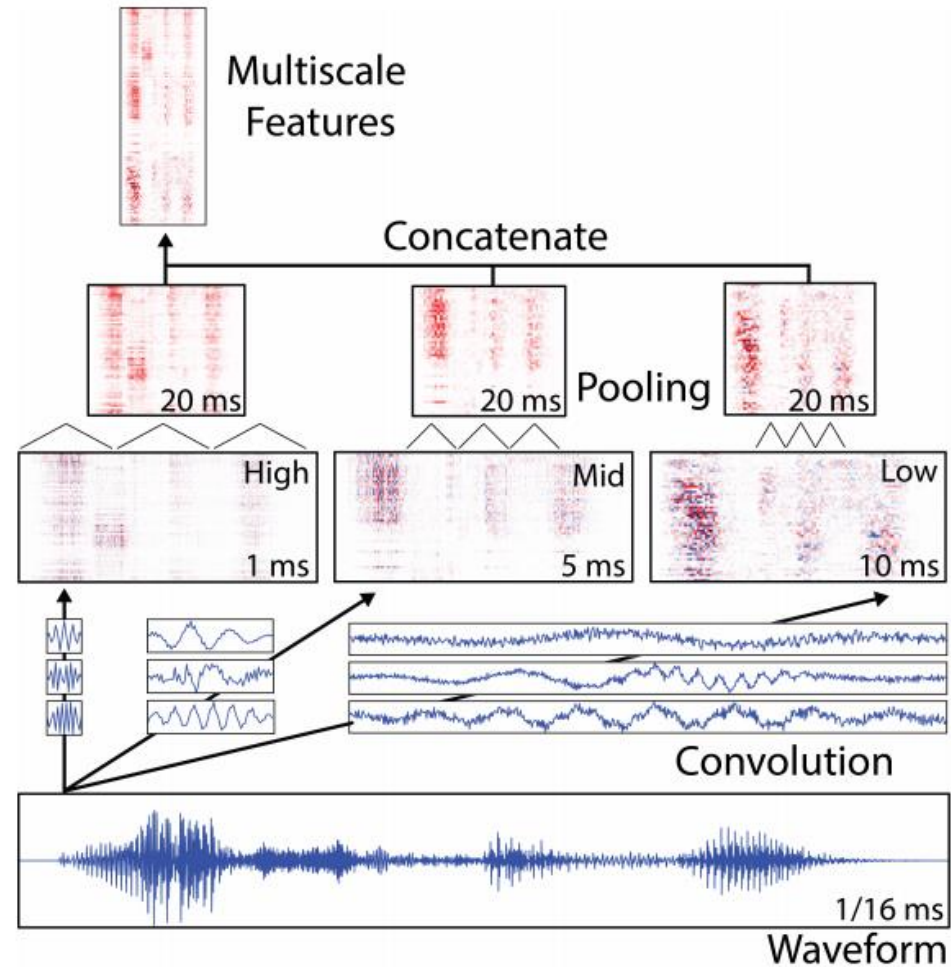
$$W = W_R + jW_I$$

$$|Y| = [\Re\{Y\}^2 + \Im\{Y\}^2]^{1/2}$$

$$\Re\{Y\} = W_R X_R - W_I X_I$$

$$\Im\{Y\} = W_R X_I + W_I X_R$$

# Feature extraction/Representation learning



Paper: Learning Multiscale Features Directly from Waveforms

# Feature extraction/Representation learning

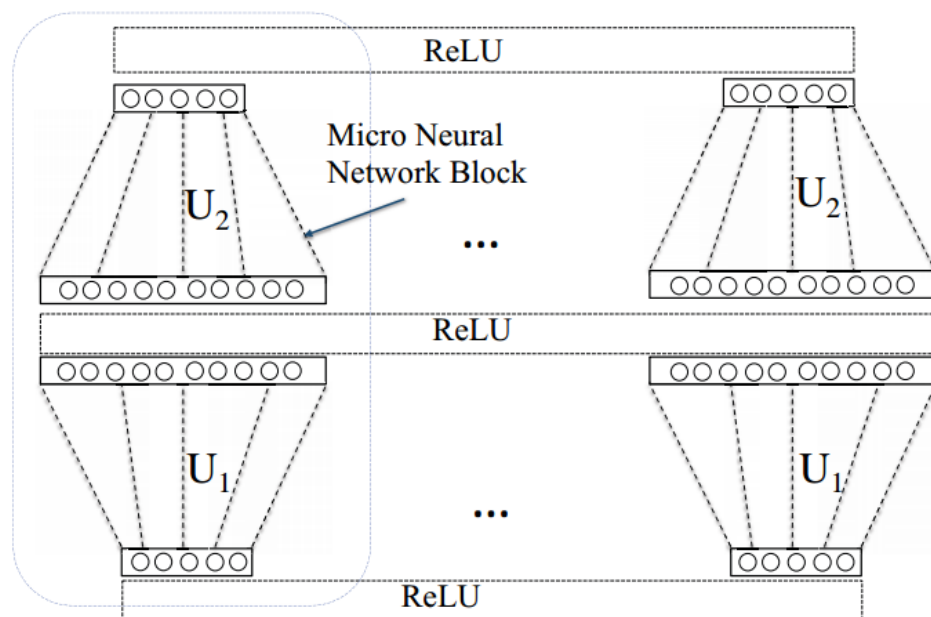


Figure 1: *Proposed NIN nonlinearity*

Paper: Acoustic modelling from the signal domain using CNNs

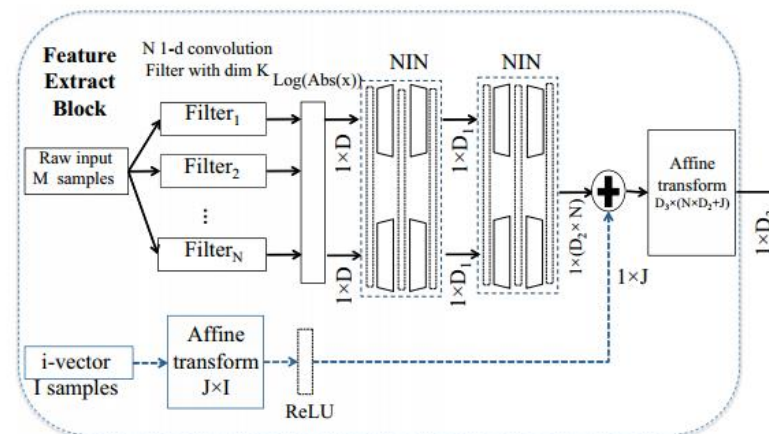


Figure 3: *Raw waveform feature extraction Block.*

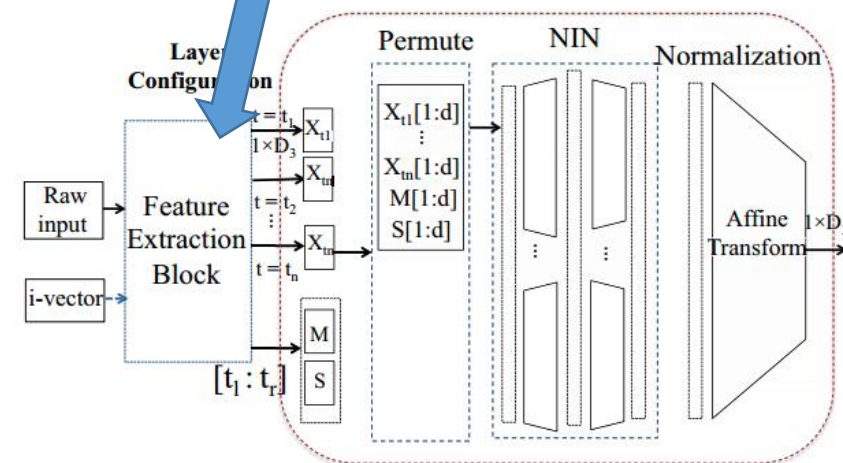
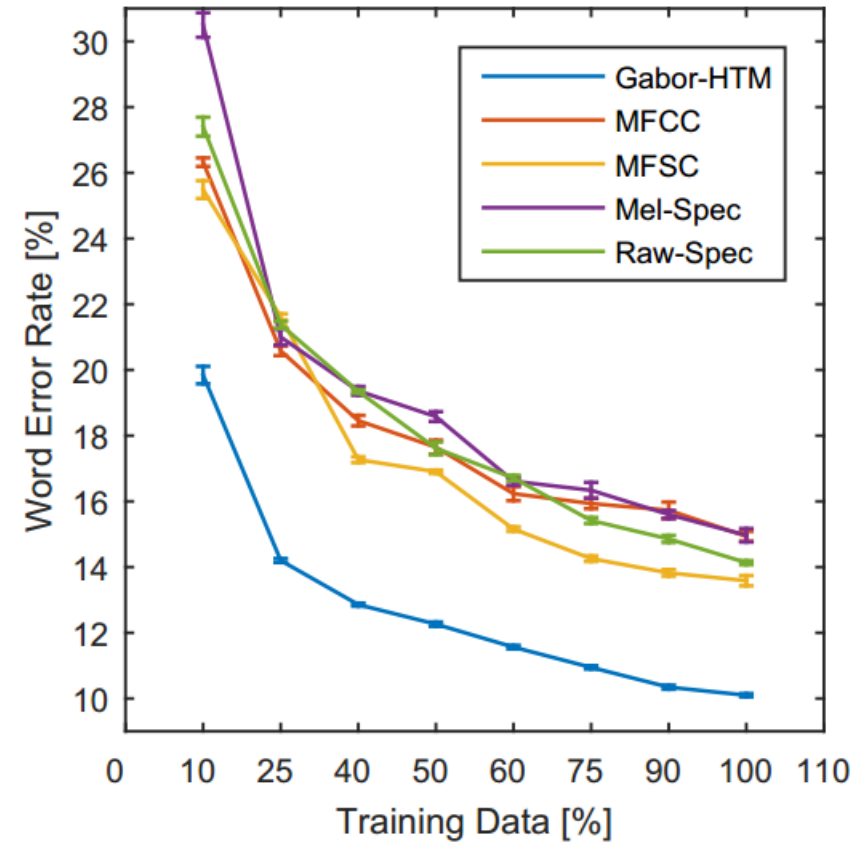


Figure 4: *Layer configuration in raw waveform classification block.*

# Feature extraction/Representation learning

1. the amplitude spectrogram (Raw-Spec) obtained by applying FFT,
2. Mel-spectrogram (Mel-spec),
3. log-Mel-spectrogram(MFSC),
4. MFCC (plus deltas and double deltas) / Gabor filterbank (GBFB).





# Feature extraction/Representation learning

Convolutional layers:

1. frequency
2. time-frequency LSTMs,
- 3. grid LSTMs (LDNN)**
4. ReNet LSTMs.

# Network architecture

$$z_t = \sigma(W_z x_t + U_z h_{t-1} + b_z)$$

$$r_t = \sigma(W_r x_t + U_r h_{t-1} + b_r)$$

$$\bar{h}_t = \sigma(W_h x_t + U_h (r_t \odot h_{t-1}) + b_h)$$

$$h_t = (1 - z_t)h_{t-1} + z_t \bar{h}_t$$

$$z_t = \sigma(W_z * x_t + \text{pool}(U_z * h_{t-1}) + b_z)$$

$$r_t = \sigma(W_r * x_t + \text{pool}(U_r * h_{t-1}) + b_r)$$

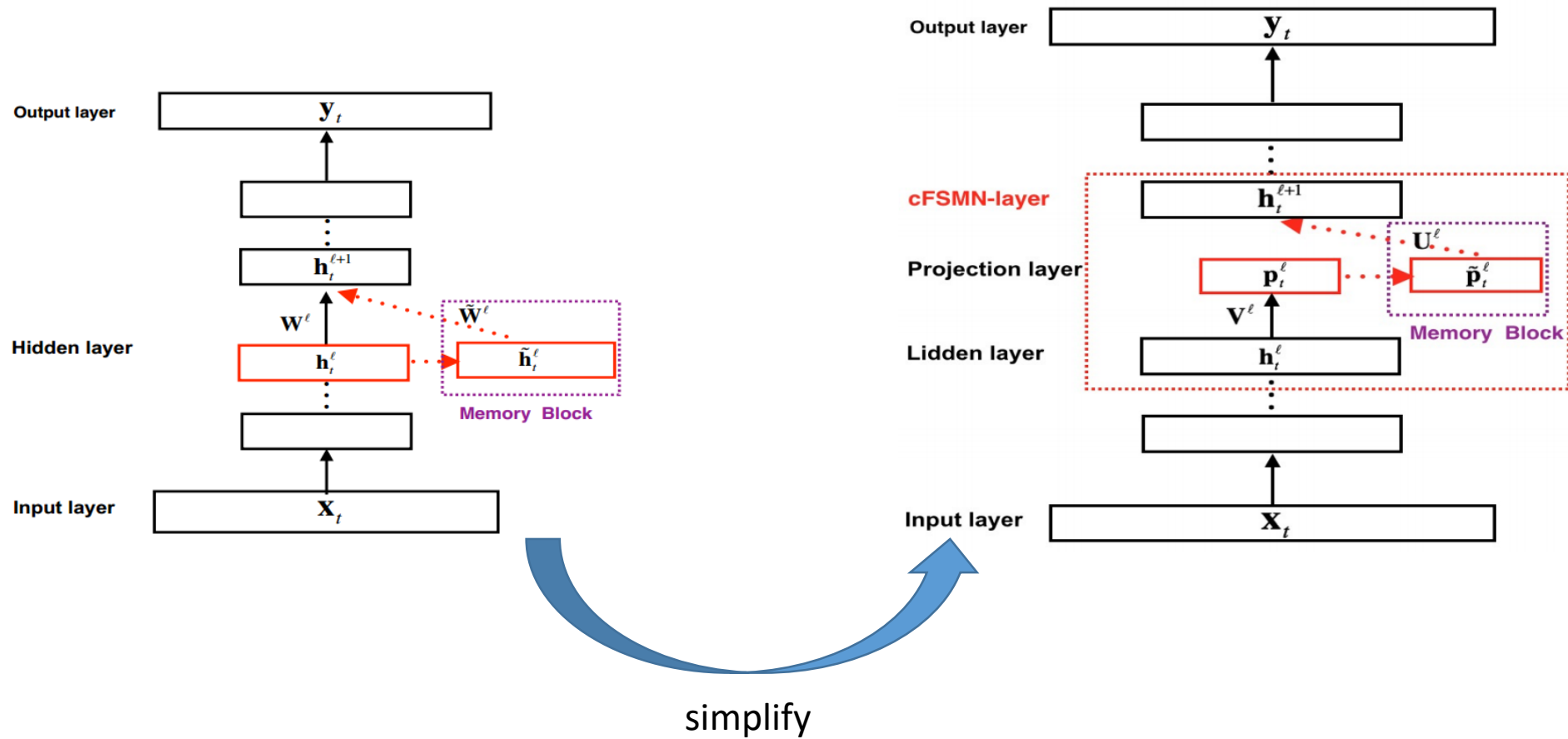
$$\bar{h}_t = \sigma(W_h * x_t + \text{pool}(U_h * (r_t \odot h_{t-1}))) + b_h$$

$$h_t = (1 - z_t)h_{t-1} + z_t \bar{h}_t$$



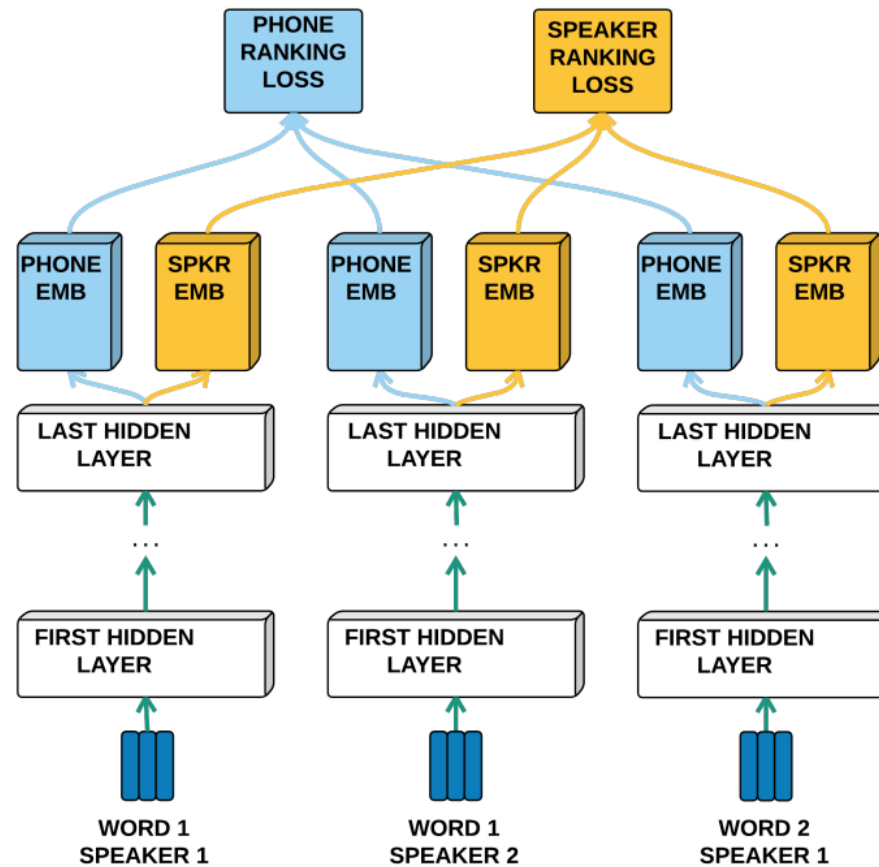
GRU + convolution + pooling

# Network architecture



Paper: Compact Feedforward Sequential Memory Networks for Large Vocabulary Continuous Speech Recognition

# Network architecture



asymmetry:  
speaker benefited consistently,  
not for phone task.

# End-to-End

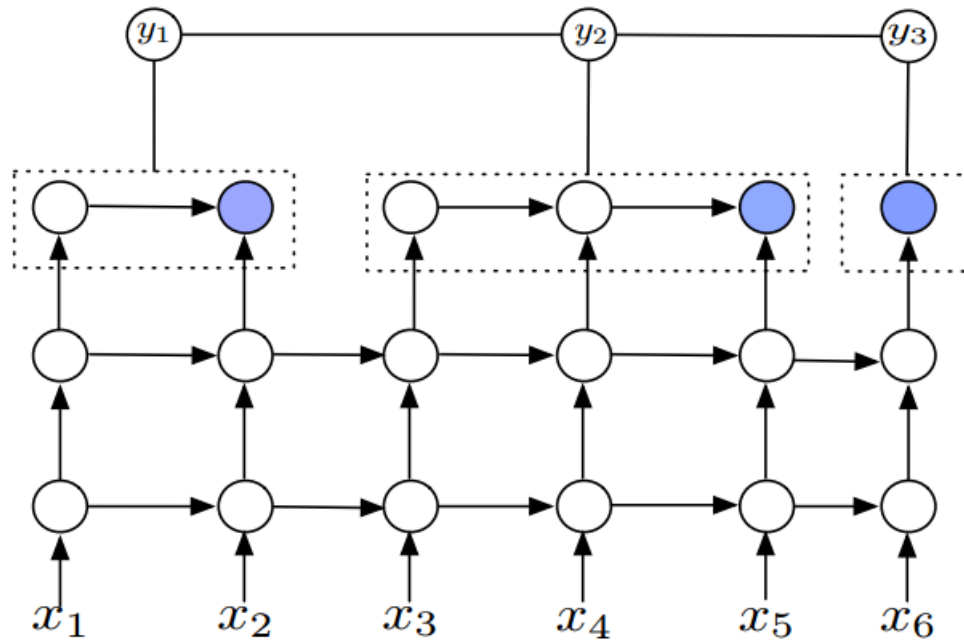
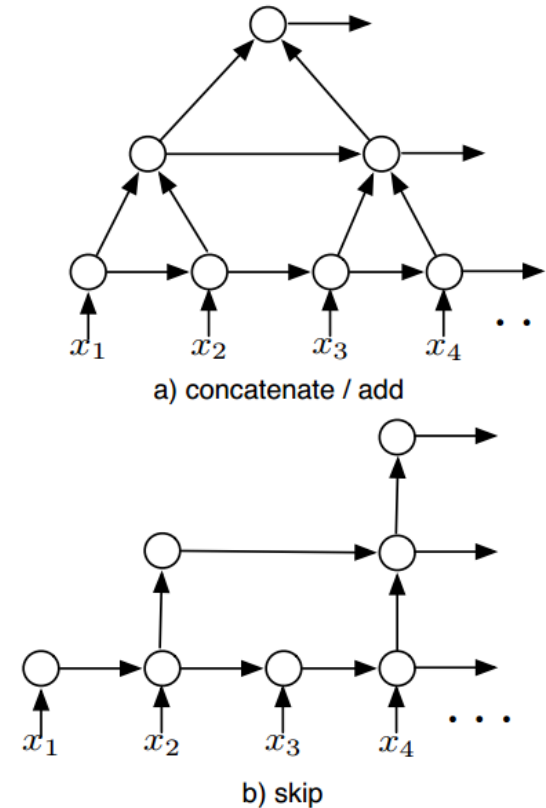


Figure 1: Segmental RNN using a first-order CRF. The coloured circles denote the segment embedding vector  $\mathbf{h}_{d_j}^j$  in Eq.(7). Using bi-directional RNNs is straightforward.

segmental RNN = RNN encoder + segmental CRF



# End-to-End

CNNs with CTC without recurrent:

- (1) **more layers**, which results in more nonlinearities and larger input receptive fields for units in the top layers;
- (2) reasonably **large context** windows, which help the model to capture the spatial/temporal relations of input sequences in reasonable time-scales;
- (3) the **Maxout** unit, which has more functional freedoms comparing to ReLU and parametric ReLU.

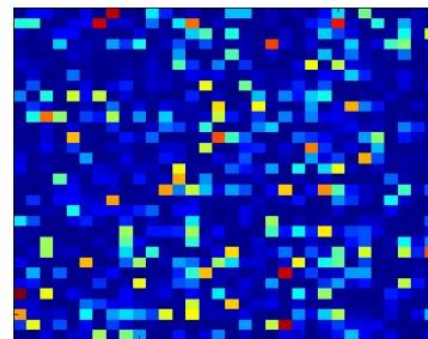
# Regularization/Adaptation

$$\mathcal{R}_{st}(\theta) = \frac{1}{T} \sum_t \sum_l \sum_i g(\mathbf{s}_i, \hat{\mathbf{s}}_{p_t}) \log \left( \frac{g(\mathbf{s}_i, \hat{\mathbf{s}}_{p_t})}{\bar{h}_i^{(l)}(\mathbf{x}_t)} \right)$$

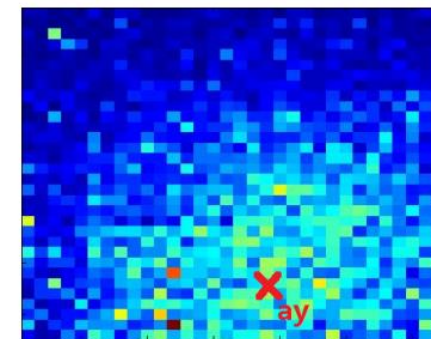
$$\mathcal{R}_L(\alpha^{(s)}) = \frac{1}{2T^{(s)}} \sum_l \sum_i \sum_j \left( q_{ij} \sum_{t \in \mathbb{I}^{(s)}} f_{ij}(\mathbf{x}_t; \alpha^{(s)}) \right)$$

stimulation term: encourage the DNN activations in a region (prior) to be similar:

1. regularization, similar activations are grouped together in the network-grid, a phone (or grapheme) dependent prior distribution is defined over the normalized activation function outputs;
2. speaker adaptation, grouping based on functional similarities on speaker-dependent scaling factors.



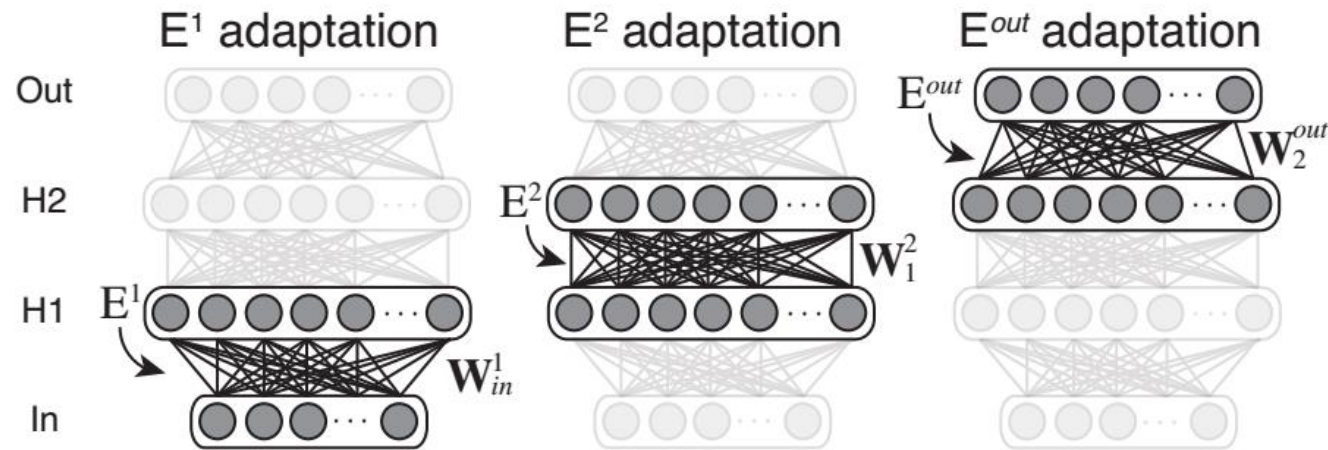
(a) Unstimulated



(b) Stimulated  $\eta_{st} = 0.05$

position nearer, pattern more similar

# Regularization/Adaptation

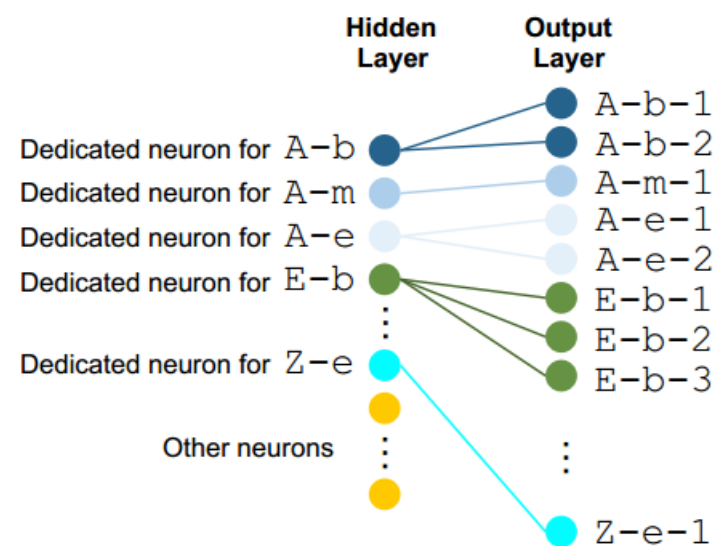
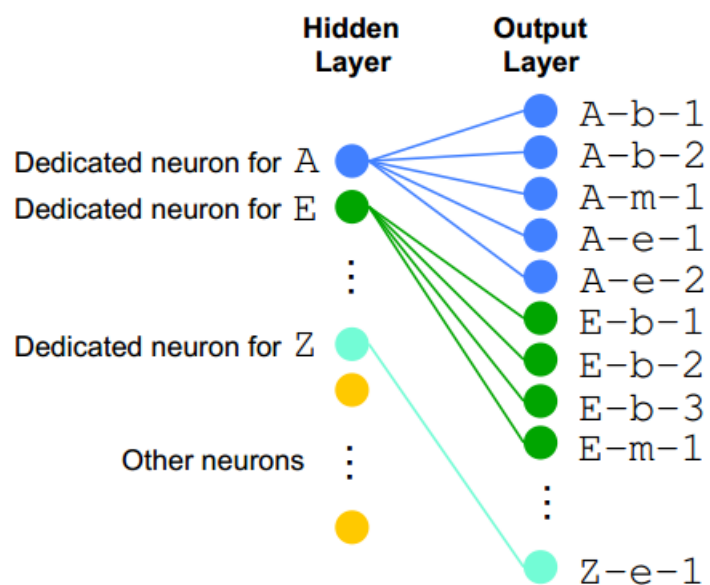


$$E^\ell = \sum_{i,j=1}^N \left( \frac{1}{T} \sum_{\tau=1}^T y_{i\tau}^\ell y_{j\tau}^\ell - c_{Rij}^\ell \right)^2.$$

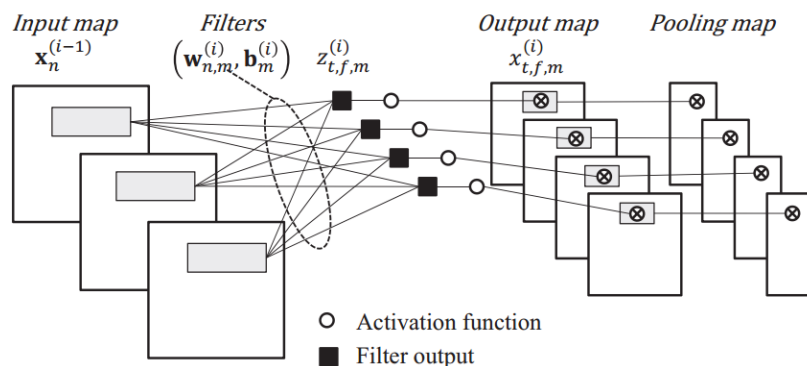
co-activation statistics of each layer describes the relationship of activations, during adaptation, minimize the distance between new co-activation and the previous one (**unsupervised**), this can filter noise



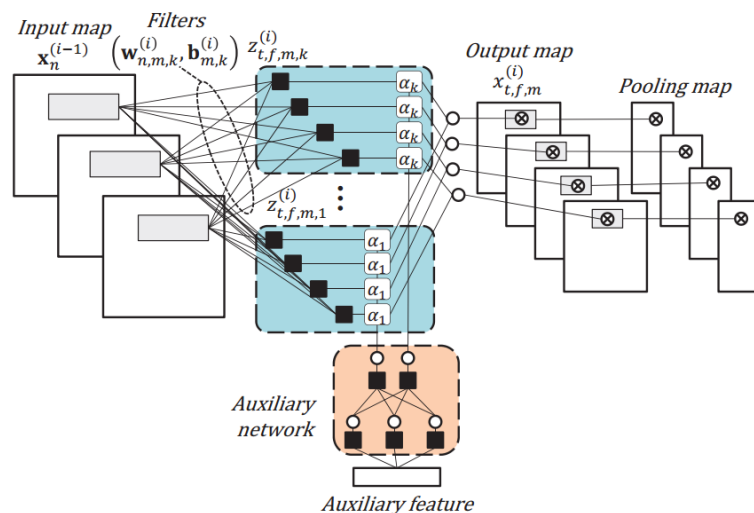
# Regularization/Adaptation



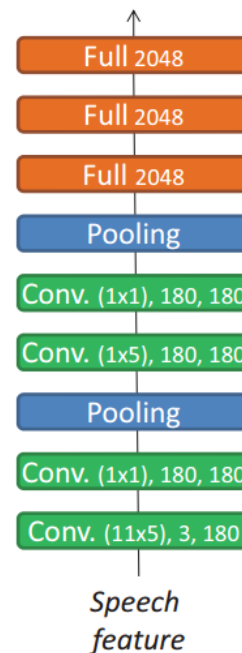
# Regularization/Adaptation



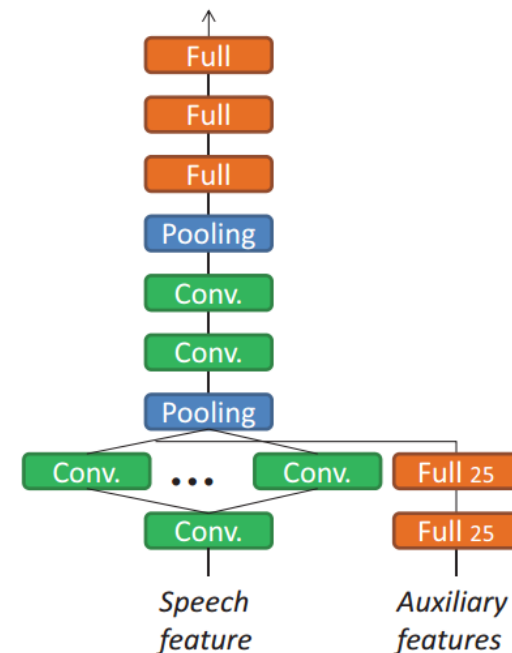
(a) convolutional layer



(b) context adaptive convolutional layer



(a) NiN

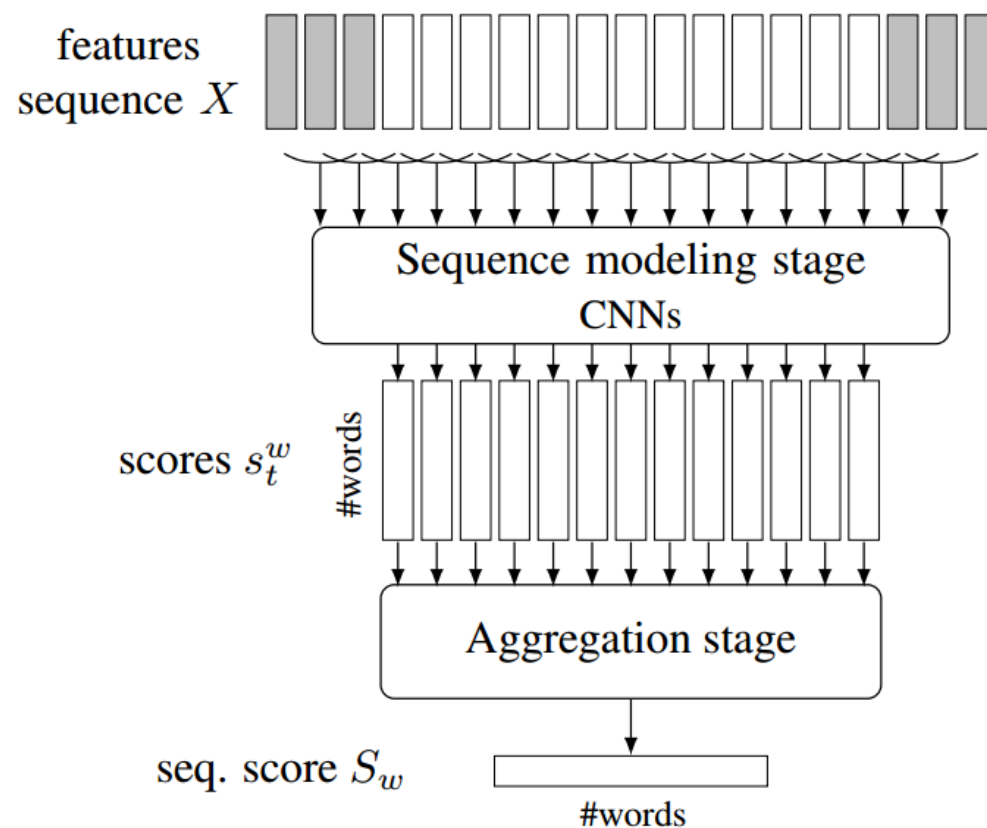


(b) CA-CNN (i=2)

# Regularization/Adaptation

1. the learning hidden unit contributions (LHUC), each speaker puts a vector on activations of each layer,
2. subspace LHUC means, the vector can be computed from a low-dim vector (such as i-vector) multiplied by a matrix shared among speakers for one layer.

# Attention



$$S_w^r(X) = \frac{1}{r} \log \left( \frac{1}{T} \sum_t \exp(r s_t^w(X)) \right)$$

$$\mathcal{L}(S(X), y) = \sum_{w=1}^{|\mathcal{W}|} \log(1 + e^{-y_w S_w(X)})$$

Bag-of-word

# Attention

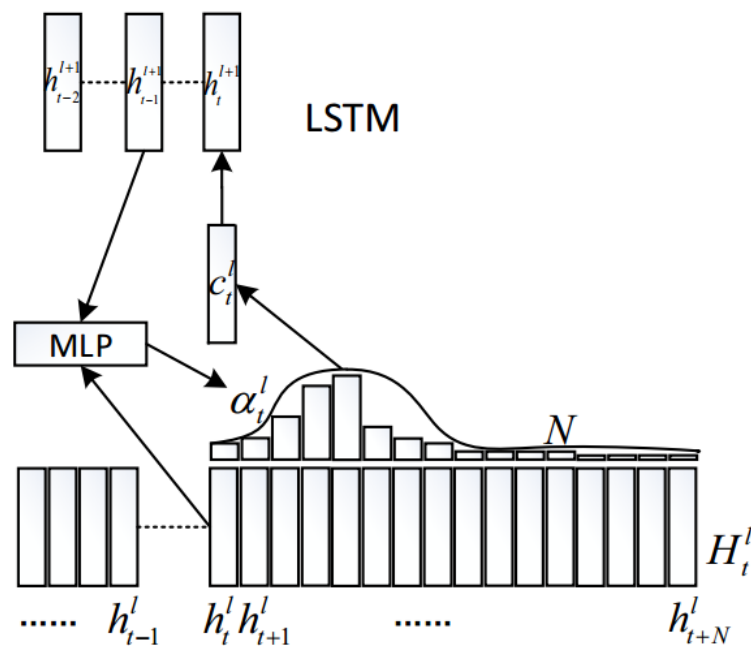


Figure 2: Attention-based LSTM architecture with future context size of N.

# Attention

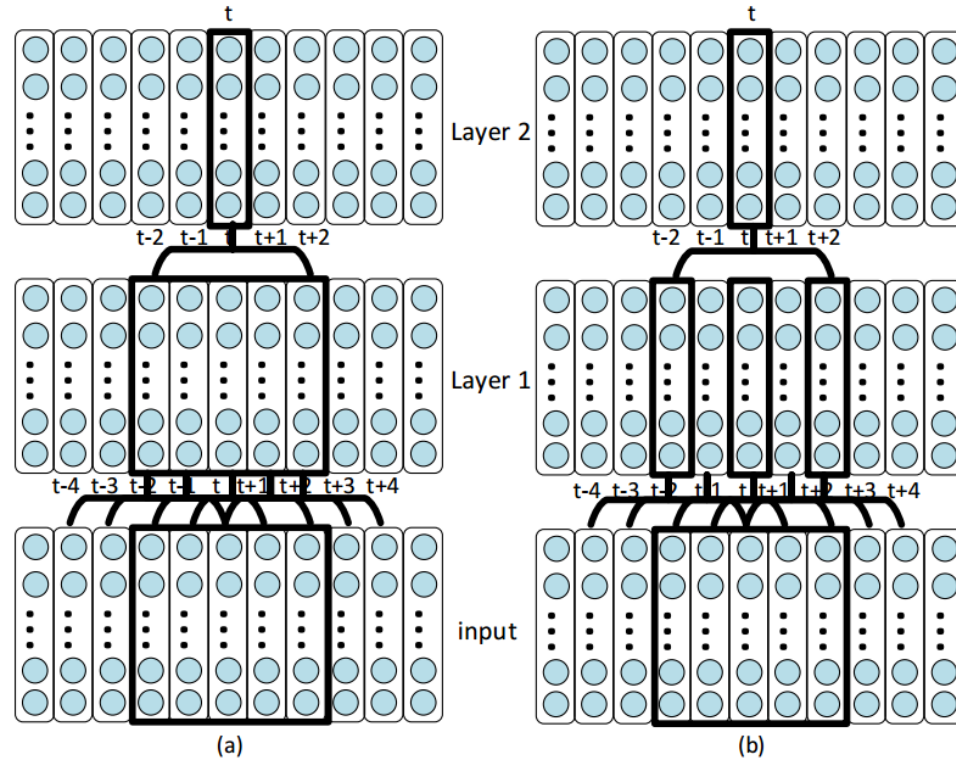


Figure 1: *Illustration of the layer-wise context expansion.*

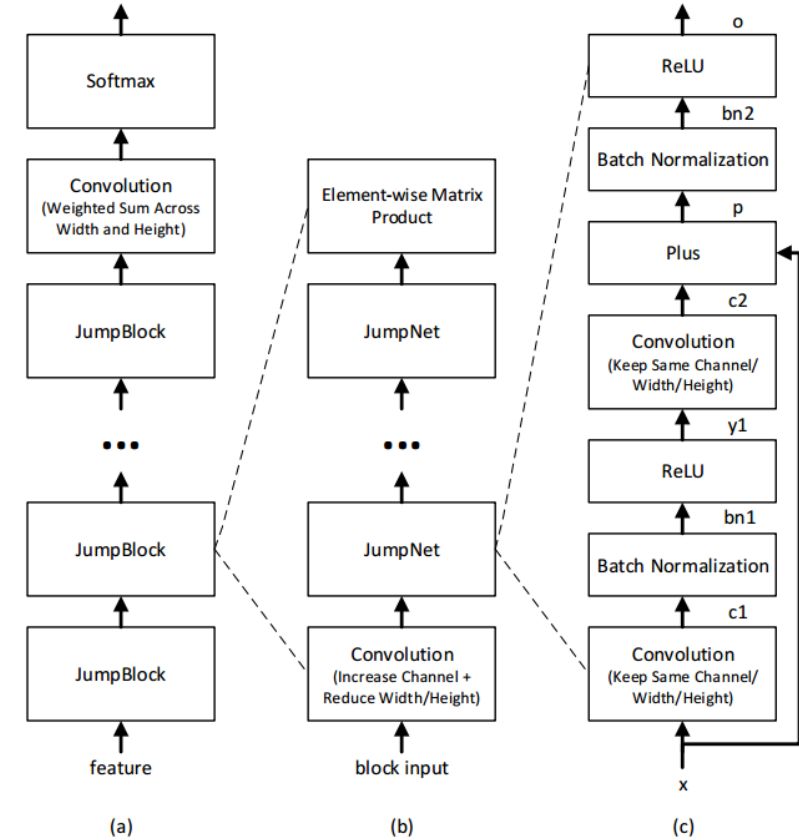


Figure 2: *The detailed diagram of the final model.*

1. with a same kernel;
2. the contribution of each lower frame is learned (**attention**), same for all channels;

# Criterion

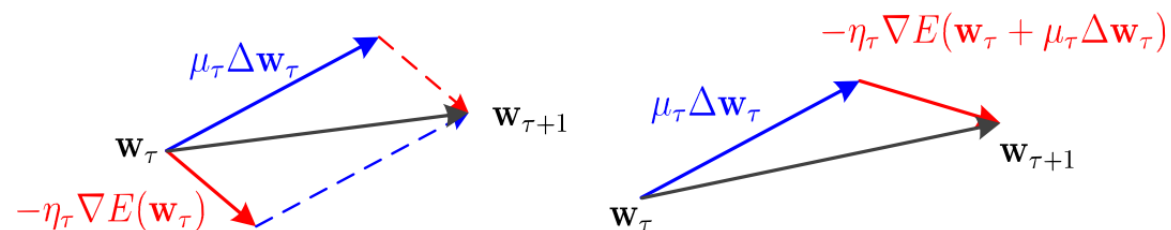


Figure 1: *Geometrical interpretation for SGD with momentum (left) and NAG (right).*

1. Hard: iteratively
2. Soft: 
$$\mathbf{w}_{\tau+1} = \mathbf{w}_\tau + \mu_\tau [\gamma + (1 - \gamma)\mu_{\tau+1}] \Delta \mathbf{w}_\tau - \eta_\tau [\gamma + (1 - \gamma)(1 + \mu_{\tau+1})] \nabla E(\mathbf{w}_\tau)$$

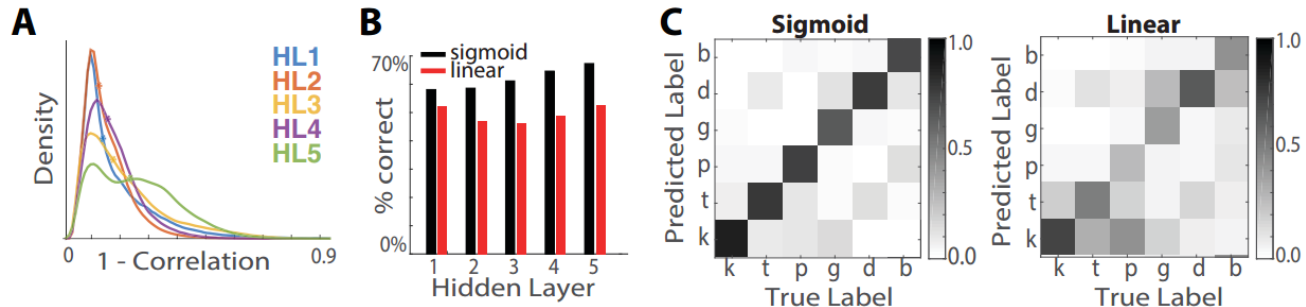
# Criterion

minimize a weighted average of the **MMI** criterion and the **KL-divergence** between the student and teacher hypothesis posteriors, hypothesis level

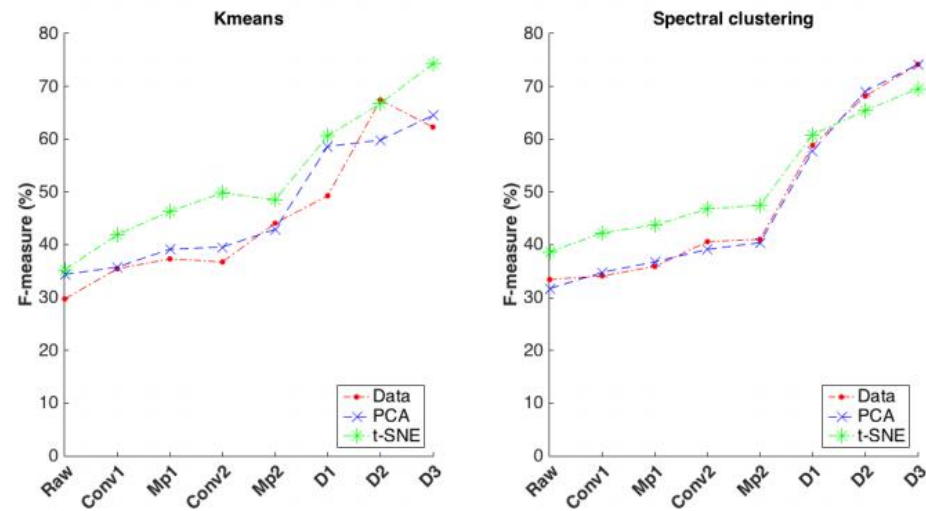


# Visualization

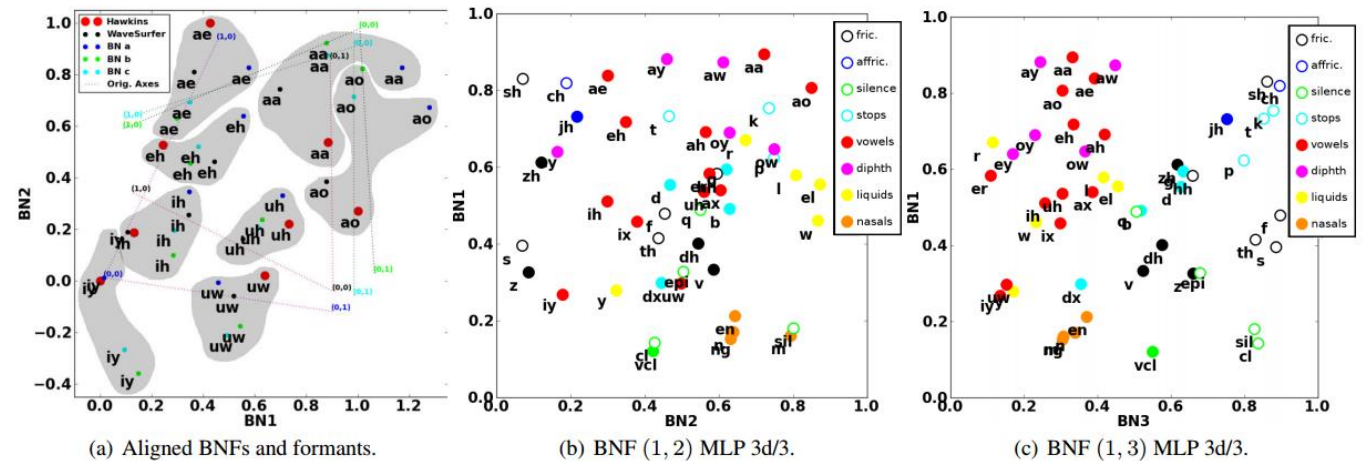
1.



2. linear and non-linear clustering; activation map



3. Phone to small-size BNF (3 to 9 neurons)



Paper: On the Role of Nonlinear Transformations in Deep Neural Network Acoustic Models

Inferring phonemic classes from CNN activation maps using clustering techniques

Interpretation of Low Dimensional Neural Network Bottleneck Features in Terms of Human Perception and Production

# Compression

1. GMM, SGMM, DNN, memory bandwidth
2. quantization, 32-bit to 8-bit

Paper: Memory-Efficient Modeling and Search Techniques for Hardware ASR Decoders  
On the efficient representation and execution of deep acoustic models

# ASR system

- i-vector based Bottleneck features
- data augmentation (scale the waveform, change the speed)
- score fusion of different models
- rescore with LMs of 4-gram or/and LSTM

1. Improving English Conversational Telephone Speech Recognition
2. The IBM 2016 English Conversational Telephone Speech Recognition System

# Highway

DNN:  $y = H(\mathbf{x}, \mathbf{W}_H).$

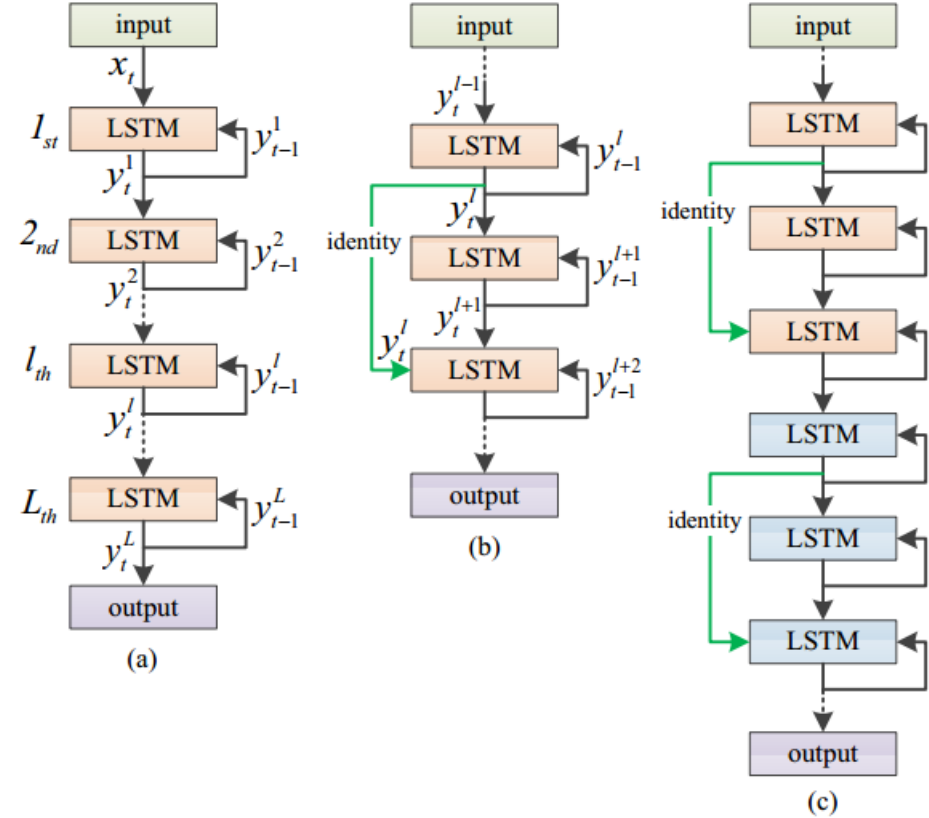
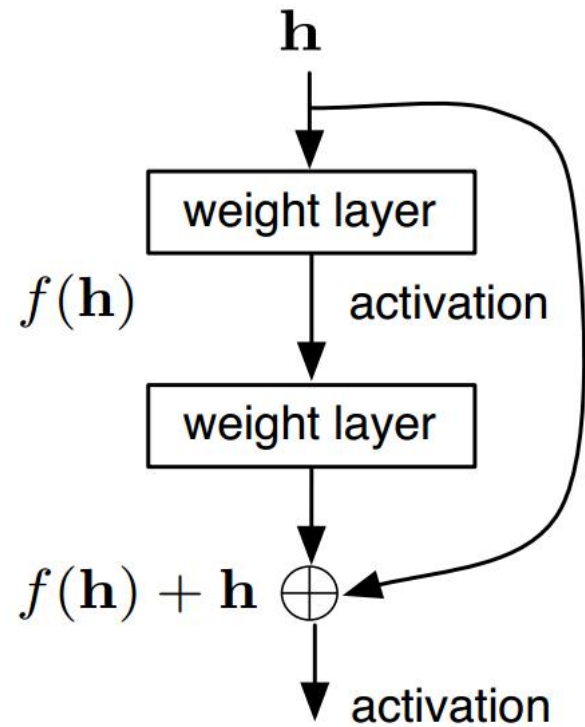
(LSTM)  $y = H(\mathbf{x}, \mathbf{W}_H) \cdot \underline{T(\mathbf{x}, \mathbf{W}_T)} + \mathbf{x} \cdot \underline{C(\mathbf{x}, \mathbf{W}_C)}.$

LSTM:

$$\mathbf{c}_t = \mathbf{f}_t \odot \mathbf{c}_{t-1} + \mathbf{i}_t \odot \tanh(\mathbf{W}_{xc}\mathbf{x}_t + \mathbf{W}_{mc}\mathbf{m}_{t-1} + \mathbf{b}_c)$$

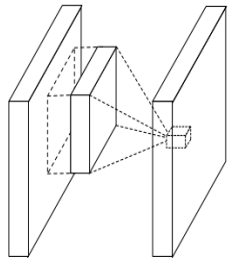
$$\left[ \begin{array}{l} \mathbf{c}_t^{l+1} = \underline{\mathbf{d}_t^{(l+1)}} \odot \mathbf{c}_t^l + \mathbf{f}_t^{(l+1)} \odot \mathbf{c}_{t-1}^{(l+1)} \\ \quad + \mathbf{i}_t^{(l+1)} \odot \tanh(\mathbf{W}_{xc}^{(l+1)}\mathbf{x}_t^{(l+1)} + \mathbf{W}_{hc}^{(l+1)}\mathbf{m}_{t-1}^{(l+1)} + \mathbf{b}_c), \\ \mathbf{d}_t^{(l+1)} = \sigma(\mathbf{b}_d^{(l+1)} + \mathbf{W}_{xd}^{l+1}\mathbf{x}_t^{(l+1)} + \mathbf{w}_{cd}^{l+1} \odot \mathbf{c}_{t-1}^{(l+1)} + \underline{\mathbf{w}_{ld}^{(l+1)} \odot \mathbf{c}_t^l}), \end{array} \right.$$

# Residual

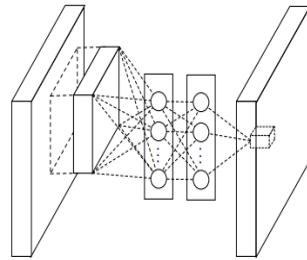


1. along the **spatial and temporal** dimension
2. a row **convolution** layer on the top

# Network in Network



(a) Linear convolution layer



(b) Mlpconv layer

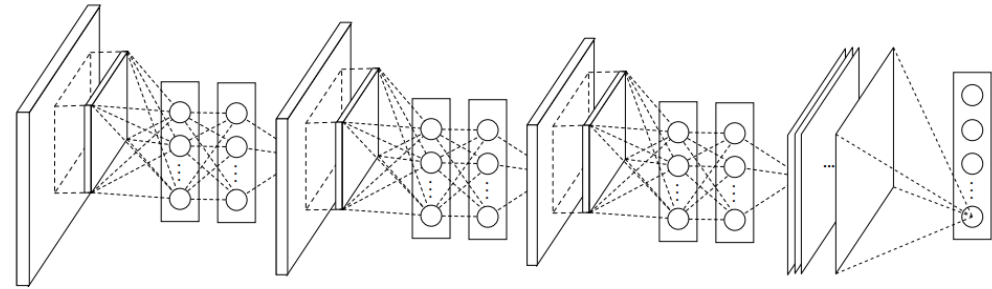
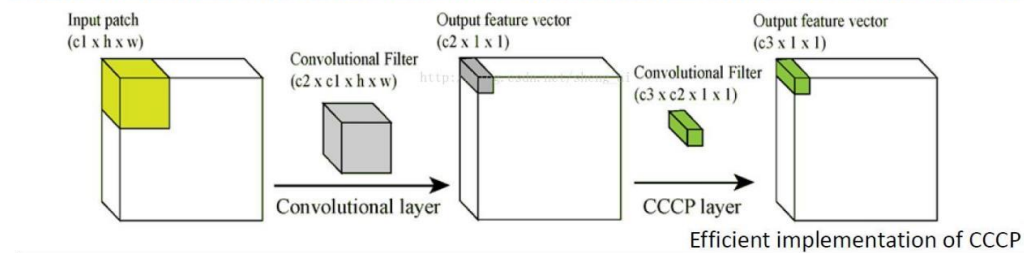


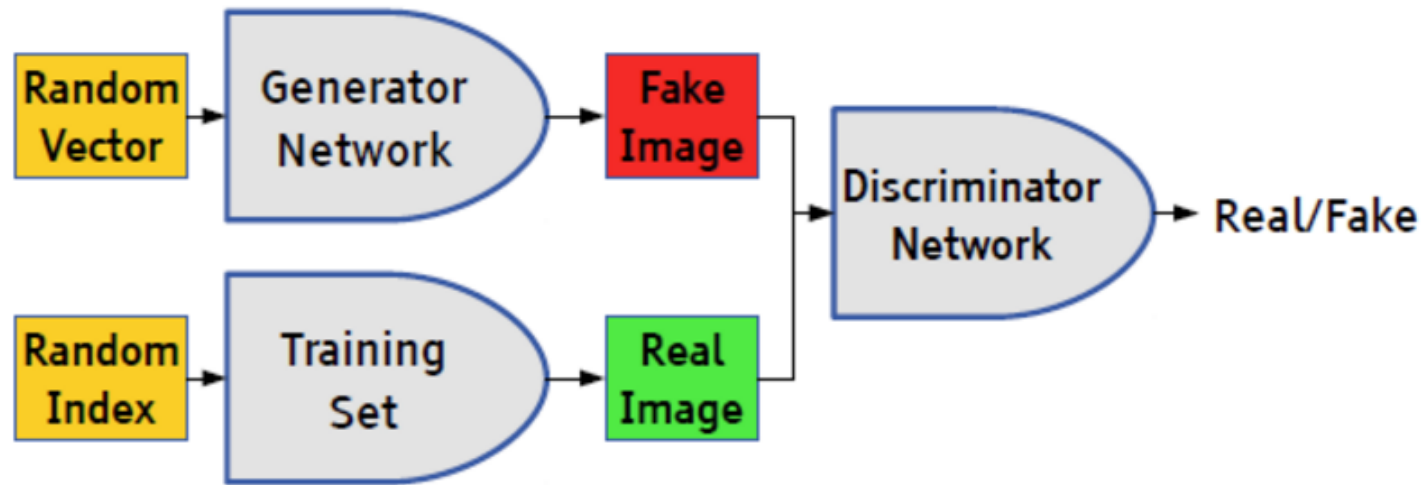
Figure 2: The overall structure of Network In Network. In this paper the NINs include the stacking of three mlpconv layers and one global average pooling layer.

Better Local Abstraction  $\approx$  Cascaded 1x1 Convolution



cascaded cross channel parametric pooling

# Adversarial Network



# Others

Paper: Lower Frame Rate Neural Network Acoustic Models

1. lower frame rate: typical 10ms log-mel frontend, subsample frames (keep every n-th one);
2. convolution + LSTM + dnn;
3. reduced latency and graceful degradation (data dependency) on smaller datasets, gains with convolution, compared to CTC-trained model.

Paper: How Neural Network Depth Compensates for HMM Conditional Independence Assumptions in DNN-HMM Acoustic Models

1. synthetic data, a bootstrap resampling framework that allows us to control the amount of data dependence;
2. only when data become more dependent that depth improves ASR performance

Paper: Purely sequence-trained neural networks for ASR based on lattice-free MMI

chain in Kaldi: MMI from scratch like CTC, 3-fold reduced frame, 4-gram phone level language model

Paper: Advances in Very Deep Convolutional Neural Networks for LVCSR