# Automatic Speaker Recognition

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## Outline

• 第一,鉴于有些同学不了解SRE的相关工作,所以我先把双周任 务时候我报告的东西快速回顾一下,让大家有个直观的印象

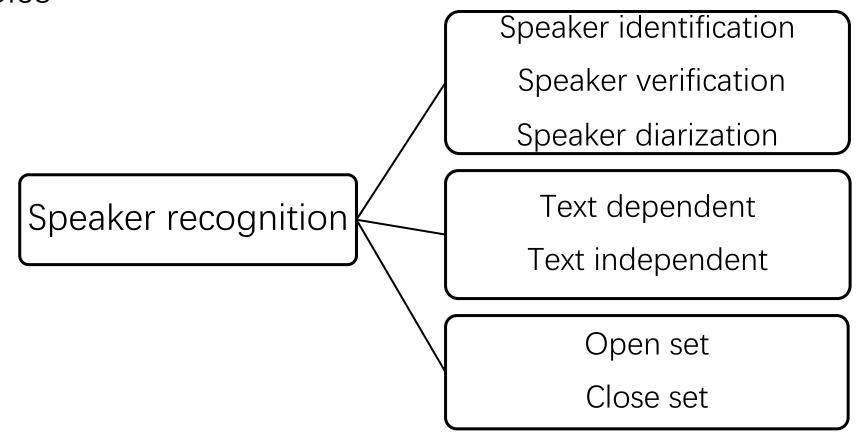
• 第二,我会总结一些最新的研究(基本是ICASSP2018有关SRE的内容)要点,以及我的一些思考和问题。

## Outline

- Introduction
- The i-vector methodology of speaker recognition
- The d-vector methodology of speaker recognition
- The end-to-end methodology of speaker recognition
- Inter-speaker variability in speaker recognition
- Example of variations in speaker recognition
- State-of-art approach in SRE

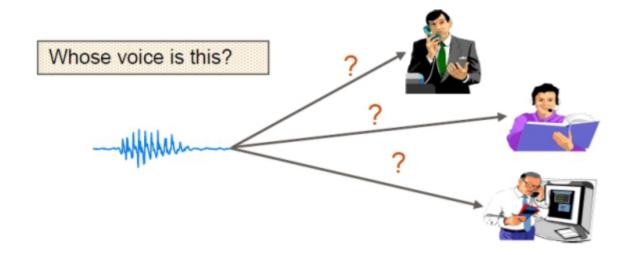
## Introduction

 Definition: It is the method of recognizing a person based on his voice



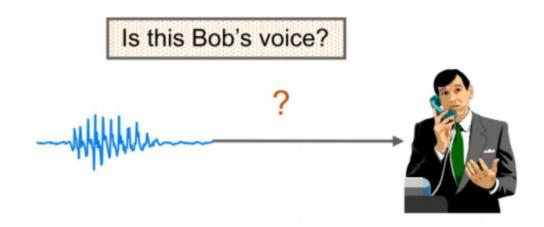
## Speaker Identification

- Definition: Determine whether unknown speaker matches one of a set known speakers
- One-to-many mapping
- Often assumed that unknown voice must come from a set of known speakers referred to as close-set identification
- Adding "none of the above" option to closed-set identification gives open-set identification



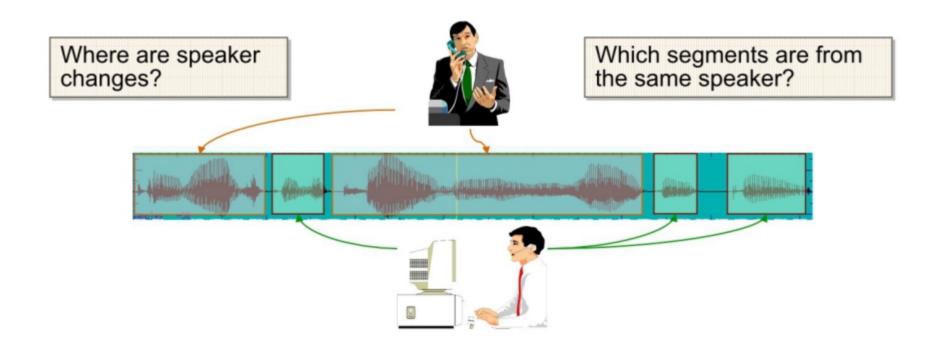
## Speaker Verification

- Determine whether unknown speaker matches a specific speaker
- One-to-one mapping
- Close-set verification: The population of clients is fixed
- Open-set verification: New clients can be added without having to redesign the system.



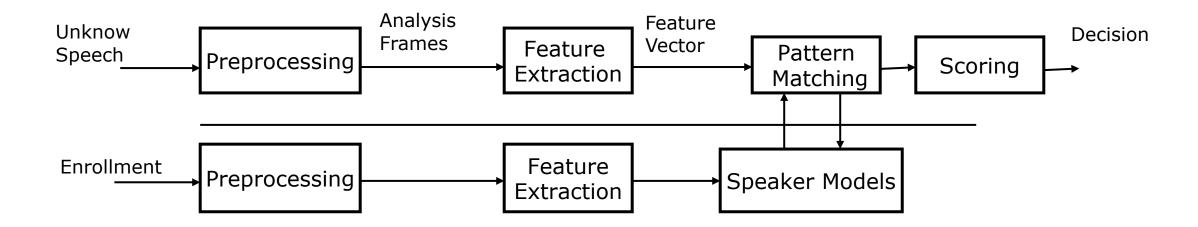
## Speaker diarization

- Determine when a speaker change has occurred in speech signal (segmentation)
- Group together speech segments corresponding to the same speaker (clustering)
- Prior speaker information may or may not be available



# Introduction: Generic Speaker Recognition System

Basic structure of a speaker recognition system



## Introduction: Main Research Fields on SRE

- Feature Extraction
- Pattern matching
- Scoring method

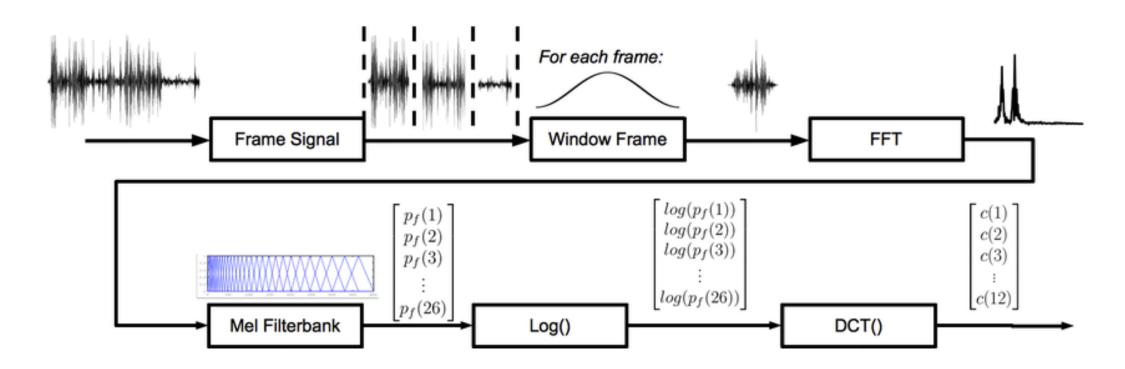
### PROPERTIES OF IDEAL FEATURES

ideally a feature parameter should (F.Nolan, 1983) :

- show high between-speaker variability and low within-speaker variability
- be resistant to attempted disguise or mimicry
- have a high frequency of occurrence in relevant materials
- be robust in transmission
- be relatively easy to extract and measure.

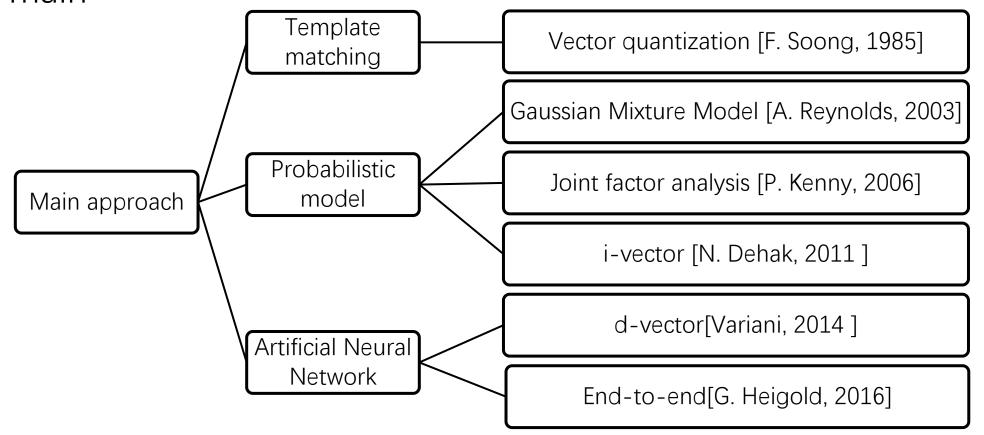
## Introduction: Feature Extraction

 Converting the raw speech signal into a sequence of acoustic feature vectors carrying characteristic information about the signal



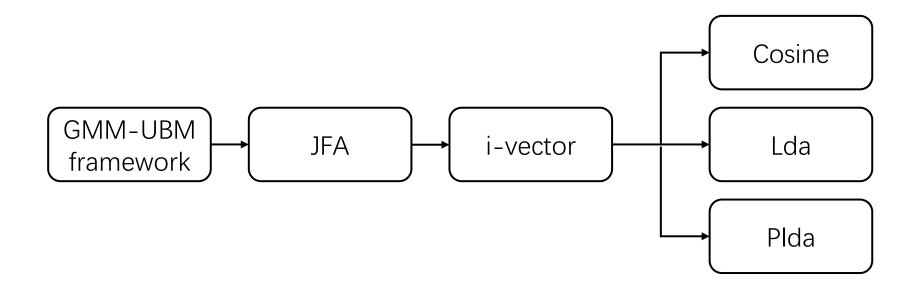
## Introduction: Pattern matching

 Main approaches in pattern matching for speaker recognition main



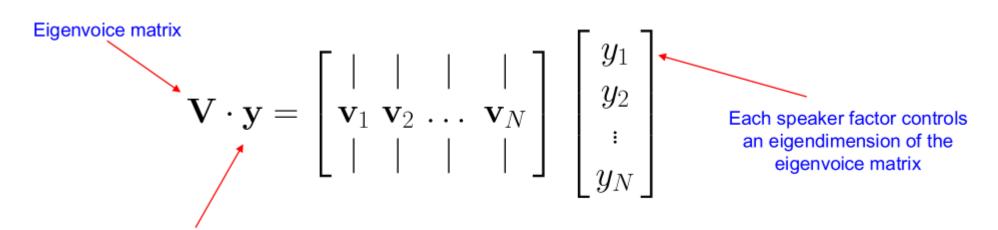
# The i-vector methodology of speaker recognition

 Over recent years, ivector has demonstrated state-ofthe-art performance for speaker recognition.



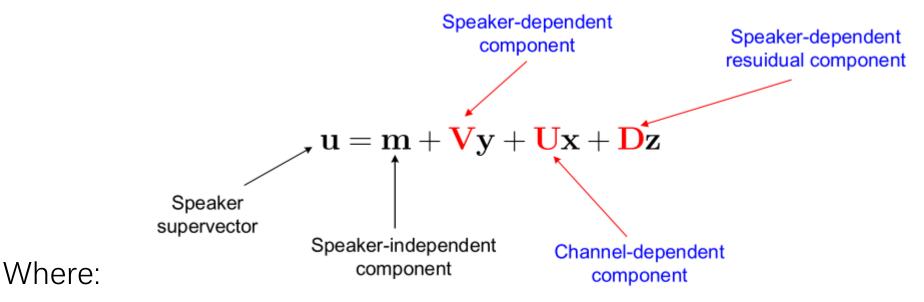
# Joint factor analysis

- A supervector for a speaker should be decomposable into speaker independent, speaker dependent, channel dependent, and residual components
- Each component is represented by low-dimensional factors, which operate along the principal dimensions of the corresponding component
- Speaker dependent component, known as the eigenvoice, and the corresponding factors



Low dimensional eigenvoice factors

• GMM supervector u for a speaker can be decomposed as



m is a speaker-independent supervector from UBM

V is the eigenvoice matrix

 $y \sim N(0, I)$  is the speaker factor vector

**U** is the eigenchannel matrix

 $\mathbf{x} \sim N(0, \mathbf{I})$  is the channel factor vector

**D** is the residual matrix, and is diagonal

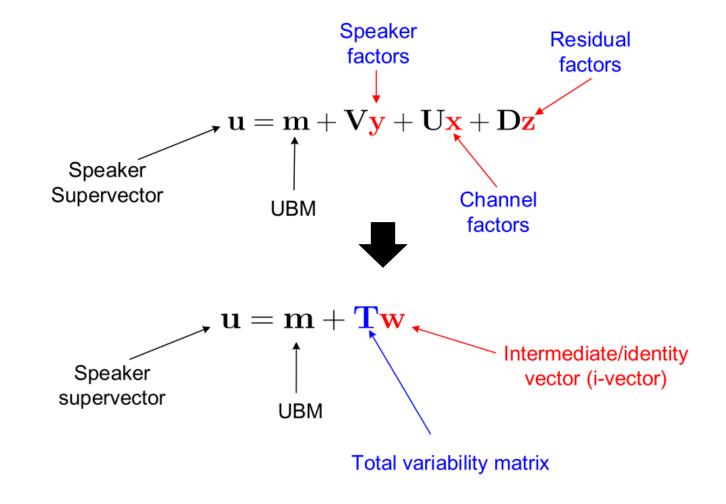
 $z \sim N(0, I)$  is the speaker-specific residual factor vector

## Training procedure

- We train the JFA matricies in the following order [Kenny et al., 2007a]
  - 1. Train the eigenvoice matrix V, assuming that U and D are zero
  - 2. Train the eigenchannel matrix U given the estimate of V, assuming that D is zero
  - 3. Train the residual matrix D given the estimates of V and U
- Using these matrices, we compute y for speaker, x for channel, and z for residual factors
- We compute the final score by using these matrices and factors

# Total variability

- Subspaces U and V are not completely independent
- A combined total variability space was used [Dehak et al., 2011]



### i-vector

- An i-vector system uses a set of low-dimensional total variability factors (w) to represent each conversation side. Each factor controls an eigen-dimension of the total variability matrix (T), and are known as the i-vectors.
- Unlike JFA or other FA methods, the i-vector approach does not make a distinction between speaker and channel
- define a total variability space, contains speaker and channel variabilities simultaneously

## Training total variability space

- Rank of T is set prior to training
- T and w are latent variables
- EM algorithm is used
- Random initialization for T
- Training total variability matrix T is similar to training V except that training T is performed by using all utterances from a given speaker but as produced by different speakers
- UBM diagonal covariance matrix  $\Sigma$  (MD×MD) is introduced to model the residual variability not captured by T

### i-vector extraction

 $0^{th}$  order statistics  $N_c(u) = \sum_t \gamma_c(\mathbf{o}_t)$  of an utterance u  $1^{th}$  order statistics  $F_c(u) = \sum_t \gamma_c(\mathbf{o}_t)\mathbf{o}_t$   $2^{nd}$  order statistics  $S_c(u) = \operatorname{diag}\left(\sum_t \gamma_c(\mathbf{o}_t)\mathbf{o}_t\mathbf{o}_t^\top\right)$  where

$$\gamma_c(\mathbf{o}_t) = p(c|\mathbf{o}_t, \boldsymbol{\theta}_{\text{ubm}}) = \frac{\pi_c p(\mathbf{o}_t|\mathbf{m}_c, \boldsymbol{\Sigma}_c)}{\sum_{j=1}^{M} \pi_i p(\mathbf{o}_t|\mathbf{m}_j, \boldsymbol{\Sigma}_j)}$$

Centralized 1<sup>th</sup> and 2<sup>nd</sup> order statistics

$$\tilde{F}_c(u) = \sum_{t=1}^T \gamma_c(\mathbf{o}_t)(\mathbf{o}_t - \mathbf{m}_c) 
\tilde{S}_c(u) = \operatorname{diag}\left(\sum_{t=1}^T \gamma_c(\mathbf{o}_t)(\mathbf{o}_t - \mathbf{m}_c)(\mathbf{o}_t - \mathbf{m}_c)^\top\right)$$

where  $\mathbf{m}_c$  is the subvector corresponding to mixture component c

## i-vector extraction

#### Sufficient statistics

$$N(u) = \begin{bmatrix} N_1(u) \cdot \mathbf{I}_{D \times D} & 0 & \cdots & 0 \\ 0 & N_2(u) \cdot \mathbf{I}_{D \times D} & 0 & \vdots \\ \vdots & 0 & \ddots & 0 \\ 0 & \cdots & 0 & N_M(u) \cdot \mathbf{I}_{D \times D} \end{bmatrix} \tilde{F}(u) = \begin{bmatrix} \tilde{F}_1(u) \\ \tilde{F}_2(u) \\ \vdots \\ \tilde{F}_M(u) \end{bmatrix}$$

#### EM algorithm [Kenny et al., 2005]

- Initialize  $\mathbf{m}$ ,  $\Sigma$  and T
- E-step: for each utterance u, calculate the parameters of the posterior distribution of  $\mathbf{w}(u)$  using the current estimates of  $\mathbf{m}, \mathbf{\Sigma}, \mathbf{T}$
- M-step: update  $\mathbf{T}$  and  $\mathbf{\Sigma}$  by solving a set of linear equations in which  $\mathbf{w}(u)$ 's play the role of explanatory variables
- Iterate until data likelihood given the estimated parameters converges

## E-step: posterior distribution of w(u)

 For each utterance u, we calculate the matrix L(u) T and w are latent variables

$$\mathbf{L}(u) = \mathbf{I} + \mathbf{T}^{\top} \mathbf{\Sigma}^{-1} N(u) \mathbf{T}$$

 Posterior distribution of w(u) conditioned on the acoustic observations of an utterance u is Gaussian with mean

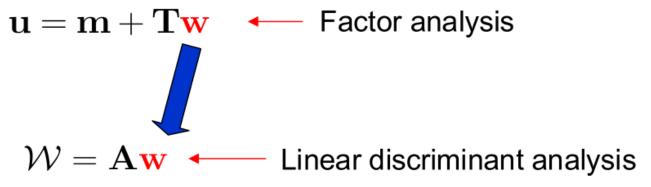
$$\mathbb{E}[\mathbf{w}(u)] = \mathbf{L}^{-1}(u)\mathbf{T}^{\top}\mathbf{\Sigma}^{-1}\tilde{F}(u)$$

and covariance matrix

$$Cov(\mathbf{w}(u), \mathbf{w}(u)) = \mathbf{L}^{-1}(u)$$

# Linear discriminant analysis

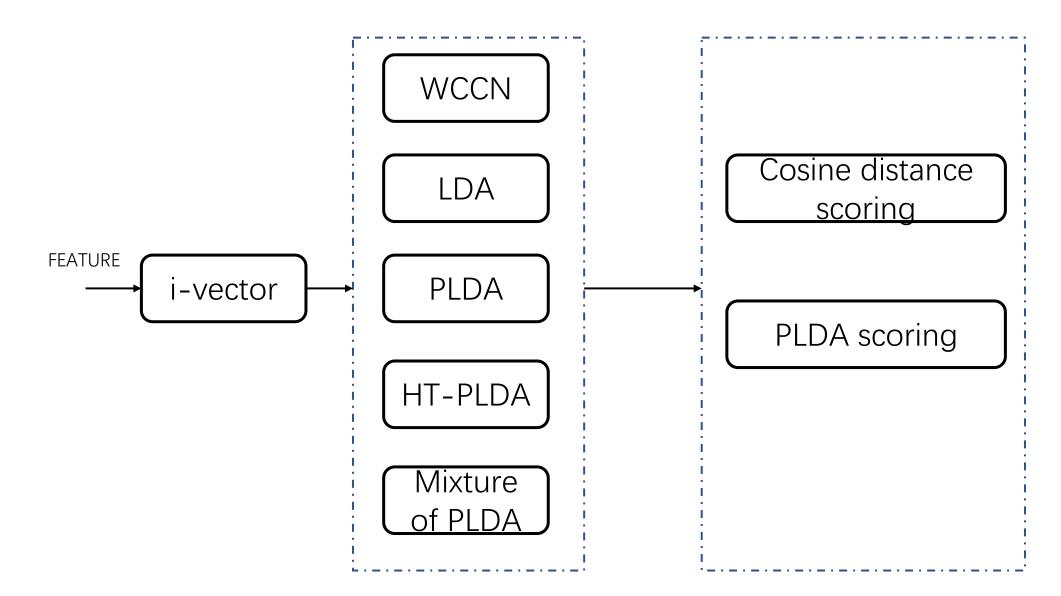
 I-vectors from JFA model are used in linear discriminant analysis (LDA)



- Both methods used to reduce the dimensionality of speaker model
- A is found by eigenvalue method via maximizing
- A is chosen such that within-speaker variability Sw is minimized and between-speaker variability Sb is maximized within the space

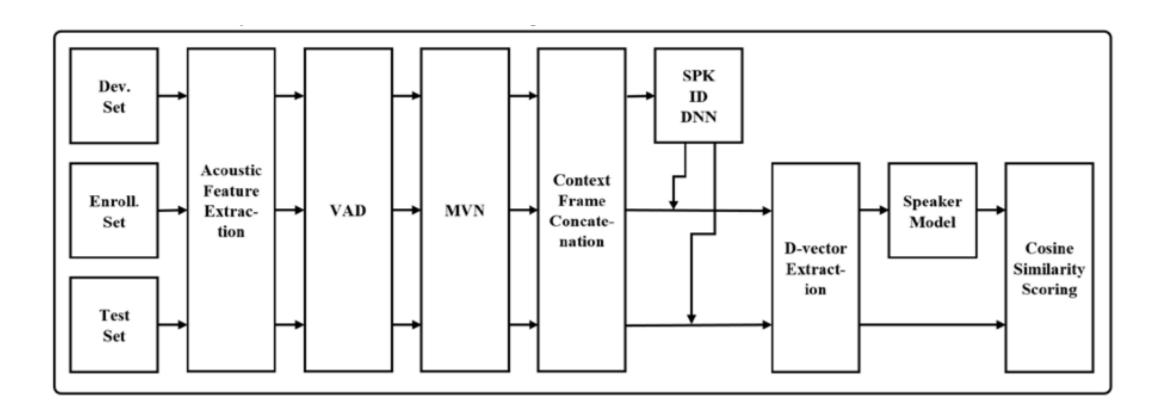
$$\mathcal{J}(\mathbf{A}) = \operatorname{Tr}\{S_w^{-1}S_b\}$$

# Intersession compensation and scoring method for ivector



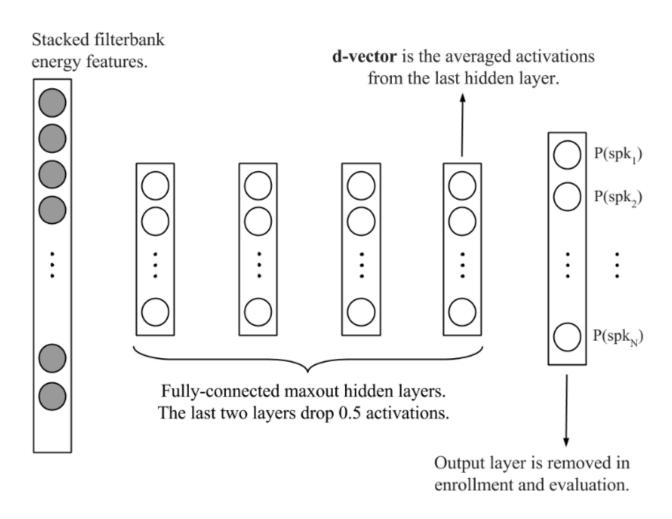
# The d-vector methodology of speaker recognition

 Pipeline process employed in conventional d-vector based speaker verification system.



# The d-vector methodology of speaker recognition

What is d-vector?



## What is d-vector?

- Using a DNN architecture as a speaker feature extractor
- For every frame of a given utterance belonging to a new speaker, we compute the output activations of the last hidden layer using standard feedforward propagation in the trained DNN
- Then accumulate those activations to form a new compact representation of that speaker, the d-vector.

# The d-vector methodology of speaker recognition

- The reason of use the output from the last hidden layer instead of the softmax output layer:
  - First, we can reduce the DNN model size for runtime by pruning away the output layer, and this also enables us to use a large number of development speakers without increasing DNN size at runtime.
  - Second, we have observed better generalization to unseen speakers from the last hidden layer output.

### Enrollment and evaluation

• Given a set of utterances  $X_s$  from a speaker s,

$$X_s = \{O_{s_1}, O_{s_2}, \dots, O_{s_n}\}$$

• With observations  $O_{s_i}$ 

$$O_{s_i} = \{o_1, o_2, \dots, o_m\}$$

- the process of enrollment can be described as follows:
  - First, we use every observation  $o_j$  in utterance  $O_{s_i}$ , together with its context, to feed the supervised trained DNN. The output of the last hidden layer is then obtained, L2 normalized, and accumulated for all the observations  $o_j$  in  $O_{s_i}$ .
  - Then we refer to the resulting accumulated vector as the d-vector associated with the utterance  $O_{s_i}$ .
  - The final representation of the speaker s is derived by averaging all d-vectors corresponding for utterances in  $X_s$ .

## Evaluation

- During the evaluation phase, we first extract the normalized d-vector from the test utterance.
- Then we compute the cosine distance between the test d-vector and the claimed speaker's d-vector.
- Finally a verification decision is made by comparing the distance to a threshold.

# Kaldi d-vector Baseline System: Toolkits and database

Kaldi toolkits [D. Povey, 2011]

• Database: THCHS-30

Table 2: Statistics of THCHS-30 database

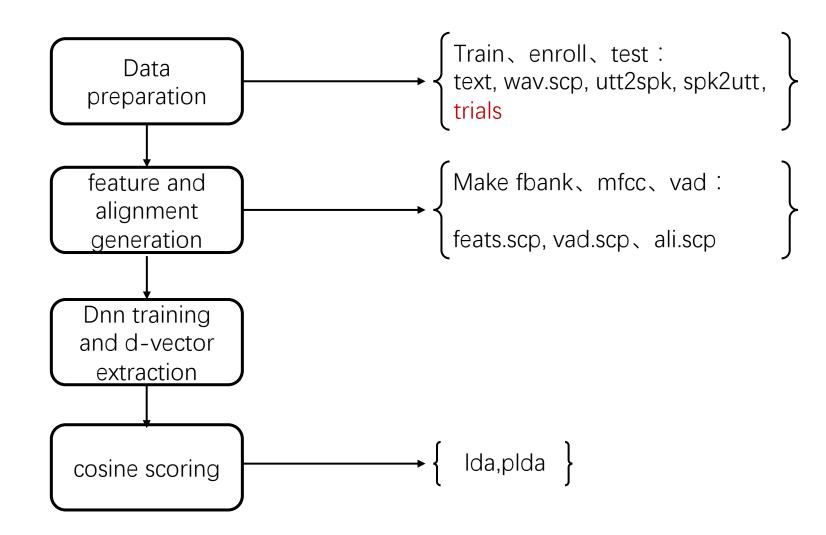
Data Set	Speaker	Male	Female	Age	Utterance	Duration (hour)
Training	30	8	22	20-55	10893	27.23h
Test	10	1	9	19-50	2496	6.24h

Data Set	Speaker	Utterance
Training	50	10000
Enroll	10	1000
Test	10	1495

## setup

- i-vector
  - 2048 Gaussian Mixtures
  - 400-dimensional ivector.
  - 150-dimensional Ida/plda.
- d-vector
  - 400-dimensional d-vector.
  - 150-dimensional Ida/plda.

# Kaldi d-vector Recipe



## results

%EER	cosine	lda	plda
i-vector	0.64	0.07	0.07
d-vector	3.08	1.07	2.21

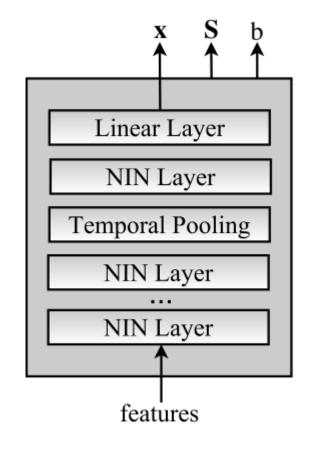
# The end-to-end methodology of speaker recognition

#### Overview:

- The architecture is a feed-forward DNN that extracts statistics over a sequence of stacked MFCCs and maps it to a speaker embedding.
- The objective function operates on pairs of embeddings, and maximizes a same-speaker probability for embeddings from the same speaker
- minimizes the same probability for pairs of embeddings from different speakers.

### Neural Network Architecture

- x:speaker embedding.
- The symmetric matrix S and offset b are constant outputs (independent of the input)
- The network activations are a type of network-in-network (NIN) nonlinearity



(a) DNN Architecture

# **Training**

• We model the probability of embeddings x and y belonging to the same speaker by the logistic function in Equation 1.

$$Pr(\mathbf{x}, \mathbf{y}) = \frac{1}{1 + e^{-L(\mathbf{x}, \mathbf{y})}} \tag{1}$$

 Equation 2 is a PLDA-like quantity defines the distance between two embeddings.

$$L(\mathbf{x}, \mathbf{y}) = \mathbf{x}^T \mathbf{y} - \mathbf{x}^T \mathbf{S} \mathbf{x} - \mathbf{y}^T \mathbf{S} \mathbf{y} + b$$
 (2)

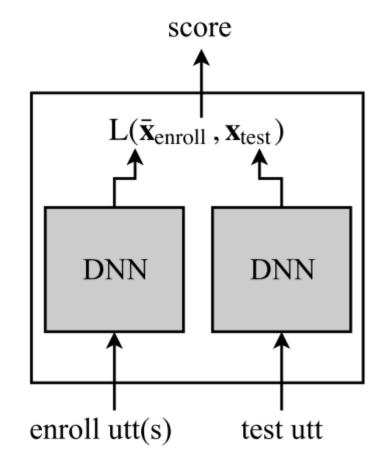
- The objective function (Equation 3) is the log probability of the correct choice for each pair.
- $P_{diff}$  and Psame be the set of different-speaker and same-speaker pairs, respectively.

$$E = -\sum_{\mathbf{x}, \mathbf{y} \in P_{\text{same}}} ln \left( Pr(\mathbf{x}, \mathbf{y}) \right) - K \sum_{\mathbf{x}, \mathbf{y} \in P_{\text{diff}}} ln \left( 1 - Pr(\mathbf{x}, \mathbf{y}) \right)$$
(3)

# Scoring

• enroll and test utterances are scored by the distance metric used in the objective function (Equation 2)

$$L(\mathbf{x}, \mathbf{y}) = \mathbf{x}^T \mathbf{y} - \mathbf{x}^T \mathbf{S} \mathbf{x} - \mathbf{y}^T \mathbf{S} \mathbf{y} + b$$

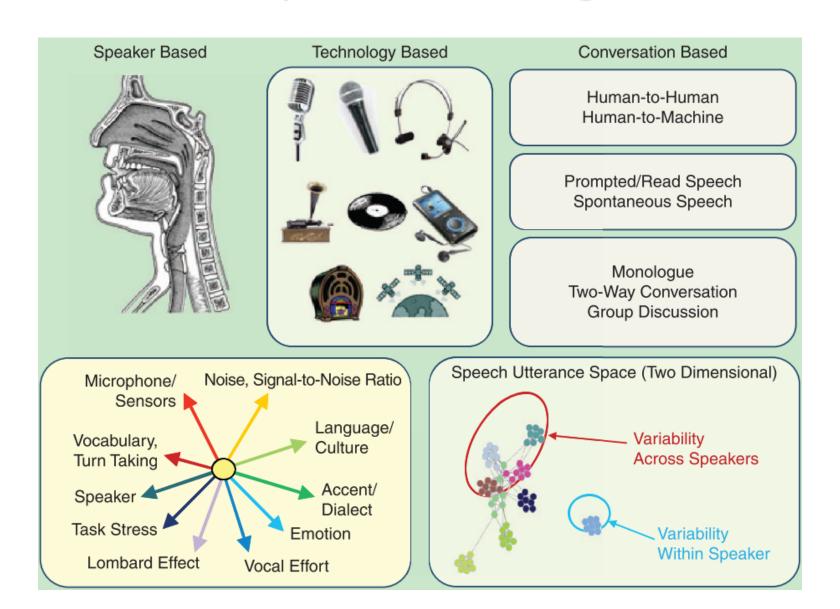


(b) Scoring Schema

# Variations in speaker recognition

- speaker based
- conversation based
- technology based

# Variations in speaker recognition



### Speaker-based variability sources

- these reflect a range of changes in how a speaker produces speech and will affect system performance for speaker recognition.
- These can be thought of as intrinsic or within-speaker variability and include the following factors:
  - Situational task stress
  - Vocal effort/style
  - Emotion
  - Physiological
  - Disguise

### Conversation-based variability sources

- these reflect different scenarios with respect to the voice interaction with either another person or technology system, or differences with respect to the specific language or dialect spoken, and can include:
  - human-to-human
    - language or dialect spoken
    - if speech is read/prompted (through visual display or through headphones), spontaneous, conversational, or disguised speech
    - monologue, two-way conversation, public speech in front of an audience or for TV or radio, group discussion
  - human-to-machine
    - prompted speech: voice input to a computer
    - voice input for telephone/dialog system/computer
    - input: interacting with a voice-based system

### Technology-based variability sources

- these include how and where the audio is captured and the following issues:
  - electromechanical—transmission channel, handset (cell, cordless, and landline) microphone
  - environmental—background noise (stationary, impulsive, time-varying, etc.), room acoustics, reverberation, and distant microphone
  - data quality—duration, sampling rate, recording quality, and audio codec/compression.

### Variations in speaker recognition

- These multifaceted sources of variation pose the greatest challenge in accurately modeling and recognizing a speaker
- Additive noise and transmission channel variability have received much attention recently.
- Higher-level knowledge may become important in these cases.
  - eg: a person's voice (spectral characteristics) may change due to his or her current health (e.g., a cold) or aging, the person's accent or style of speech remains generally the same

### age

- Eigenageing Compensation approach[Finnian Kelly,2013]
- Analogous to eigenchannel compensation, the proposed eigenageing compensation method operates by adapting a speaker model to a test sample based on a predetermined ageing subspace.
- The aim of eigenageing compensation is to model the ageing change in speakers, and then use this to adapt a speaker model at verification time to a sample of unknown age

### language

- training speaker models using both enrollment and test languages
- incorporated Language Identification (LID) as a first layer in speaker verification to detect the test language and then use an appropriate model trained on that language for scoring.
  - Both of these studies, however, require a Gaussian Mixture Model-Universal background Model (GMM-UBM) speaker verification system, that is no longer state-of-the-art in speaker verification
- A language dependent subspace is estimated using a Joint Factor Analysis (JFA) framework and then suppressed as a nuisance attribute
  - This approach is close to the state-of-the art system, but requires significant multi- lingual seed data to train the system
- adding small amounts of multi-lingual data to a Probabilistic Linear Discriminant Analysis (PLDA) development set and achieve a significant improvement.

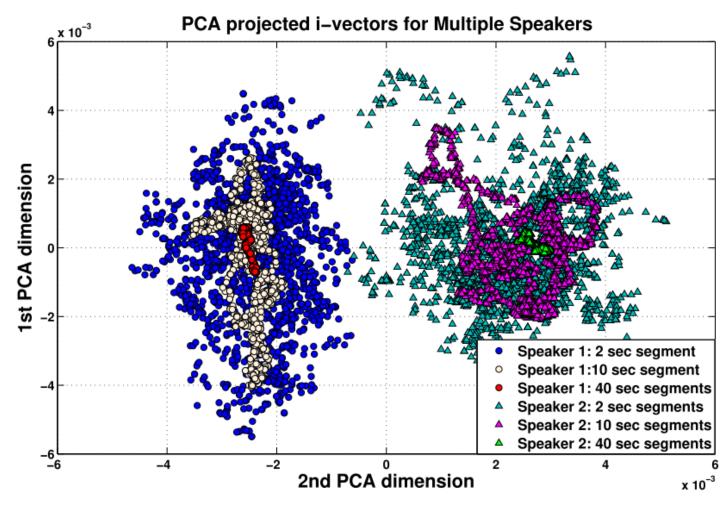
### noise

- The effect of environmental noise on the recording is at least twofold:
  - the noise is added to the speech signal at the transducer, leading to a lower SNR at the receiver's end.
  - the Lombard reflex in human speakers will cause the speaker to change the vocal effort and simultaneously changing their voice's spectral characteristics.
- priori knowledge method :
  - filtering techniques: spectral subtraction. Kalman filtering......
  - noise compensation: PMC. Jacobian environmental adaptation
- missing-feature approaches
  - base the recognition only on the data with little or no contamination

. . . . . .

### **Short duration**

 The main challenge in achieving high performance with short duration is the increase in intra-speaker variability of estimated parameters.



### duration

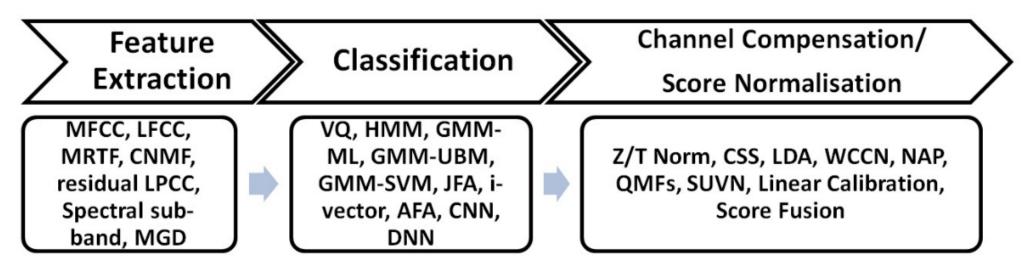


Fig. 6: Diagrammatic representation of different methods used in three sub-system levels of ASV to mitigate the problem of short utterance.

### State-of-art approach

- 关于PLDA的适用条件,也就是PLDA的预设条件是,
- 首先PLDA(线性概率模型)是去尝试分解语音数据为话者部分和信道部分,以完成对i-vector的类内或者类间的差异补偿或者降维。 并且它都假设送进plda训练的数据是符合高斯分布的,但问题是已经有研究证明了这个假设本身就是存在问题的,也有是说得到的那个i-vector未必符合高斯分布。
- End-to-end和i-vector的结合
- DTW(dynamic time warping)
  - 让待识别语音中的每一帧与模板中最相似的一帧匹配
  - 但要保持顺序
  - 动态规划算法

### End-to-end方法上的应用

 A COMPLETE END-TO-END SPEAKER VERIFICATION SYSTEM USING DEEP NEURAL NETWORKS: FROM RAW SIGNALS TO VERIFICATION RESULT

#### 重点:

- 处理raw audio的难点在于 "Unlike other domains, such as image and text, raw audio signals are difficult to use because they have highly fluctuating values ranging from -32,768 to 32,767 in widely used 16 bit audio samples."
- 所以文章提出加一个预处理的过程
- 将后端分类器也整合进end-to-end系统中

### End-to-end方法上的应用

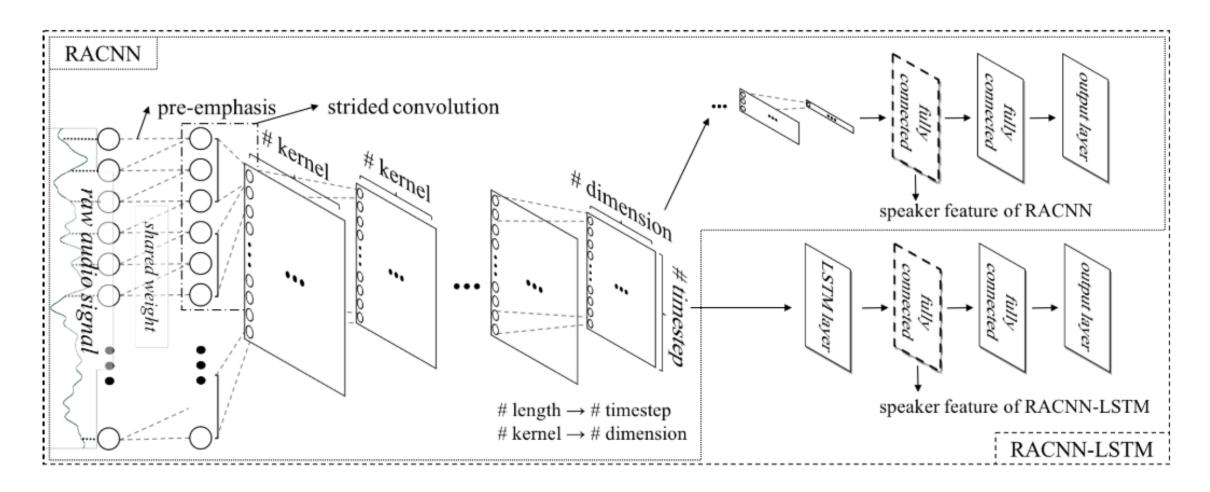
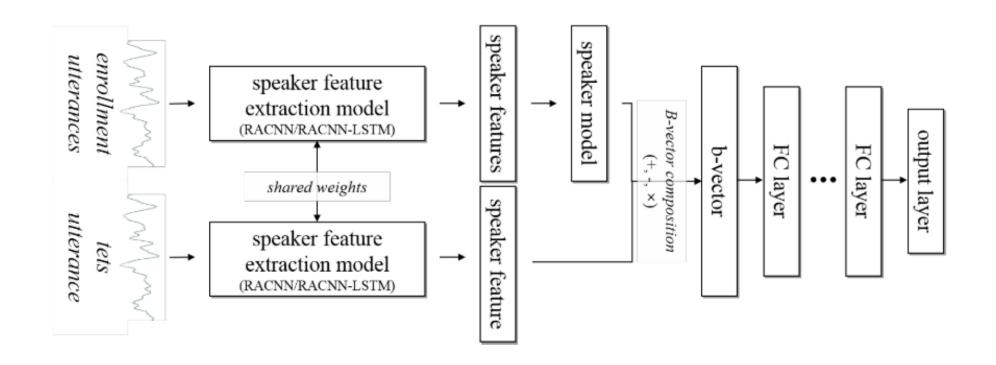


Fig. 1. Illustration of the proposed pre-processing layers and speaker-feature-extraction models.

# End-to-end方法上的应用



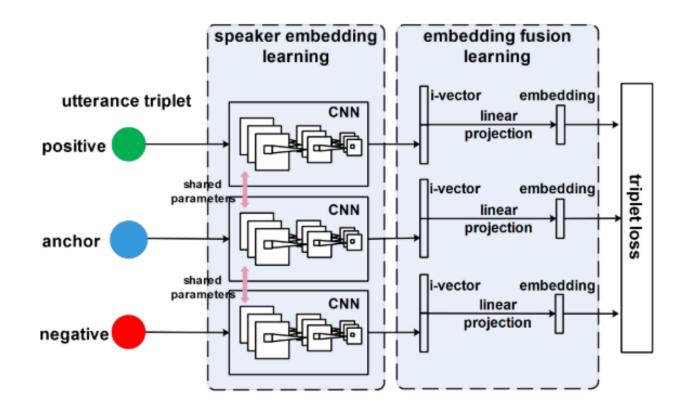
**Fig. 2**. Illustration of the overall process of the proposed end-to-end systems with embedded pre-processing layers and speaker feature extraction layers.

# End-to-end和i-vector结合(1)

- JOINT I-VECTOR WITH END-TO-END SYSTEM FOR SHORT DURATION TEXT-INDEPENDENT SPEAKER VERIFICATION
- 前提:这篇论文认为, ivector和end-to-end方法有很多互补的信息(但文中我并没有看到这方面的证明, 以及引用文献说互补)
- 所以他尝试了四种方法去将i-vector方法和end-to-end方法融合起来 (fusion)
  - Score fusion
  - Model fusion
    - Direct concatenation ofembeddings
    - Transformed concatenation ofembeddings
    - Joint learning

### Transformed concatenation of embeddings

 We wish to extract speaker discriminant features in the first part and learn how to effectively combine different speaker embeddings in the second part



1、triplet loss?

### Joint learning

- The same architecture in the previous transformed embeddings concatenation is utilized in joint learning mode.
- The only difference is that instead of keeping the parameters of the speaker embedding learning part unchanged, the whole system is optimized and updated in an end-to-end training manner.

### i-vector/PLDA体系的思考

- DEEP NEURAL NETWORK BASED DISCRIMINATIVE TRAINING FOR I-VECTOR/PLDA
- 用DNN替换掉PLDA
- 关于PLDA的适用条件,也就是PLDA的预设条件
- 首先PLDA(线性概率模型)是去尝试分解语音数据为话者部分和信道部分,以完成对i-vector的类内或者类间的差异补偿或者降维。并且它都假设送进plda训练的数据是符合高斯分布的,但问题是已经有研究证明了这个假设本身就是存在问题的,也有是说得到的那个i-vector未必符合高斯分布。
- 其次 nonlinear projection is also considered to have more powerful ability in seeking a reasonable low-dimensional feature subspace than the linear projection conducted by PLDA.
- 所以选择DNN来完成整个降维和打分过程。(we want to integrate the dimensionality reduction stage and the subsequent scoring stage to obtain a more discriminative classifier by using the discriminative training method)

### ATTENTION-BASED MODELS

**Table 1**: Evaluation EER(%): Non-attention baseline model vs. basic attention layer using different scoring functions.

Test data	Non-attention	Basic attention				
Enroll $\rightarrow$ Verify	baseline	$f_{\rm BO}$	$f_{ m L}$	$f_{ m SL}$	$f_{ m NL}$	$f_{ m SNL}$
OK Google → OK Google	0.88	0.85	0.81	0.8	0.79	0.78
OK Google → Hey Google	2.77	2.97	2.74	2.75	2.69	2.66
Hey Google → OK Google	2.19	2.3	2.28	2.23	2.14	2.08
Hey Google → Hey Google	1.05	1.04	1.03	1.03	1.00	1.01
Average	1.72	1.79	1.72	1.70	1.66	1.63

**Table 2**: Evaluation EER(%): Basic attention layer vs. variants — all using  $f_{SNL}$  as scoring function.

Test data	Basic $f_{SNL}$	Cross-layer	Divided-layer
$OK \rightarrow OK$	0.78	0.81	0.75
$OK \rightarrow Hey$	2.66	2.61	2.44
$\text{Hey} \rightarrow \text{OK}$	2.08	2.03	2.07
$\text{Hey} \rightarrow \text{Hey}$	1.01	0.97	0.99
Average	1.63	1.61	1.56

# 总结

- •如何将后端分类器整合到end-to-end系统中,及为什么这样做?
- Joint learning是怎么回事?

• ......

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# THANK YOU!